

**Problem 1.**

(Exercise 2.42 in the text) Solve the recurrence  $f(n) = \alpha f(n/2)$ , with  $f(1) = 1$ . Since this recurrence is only defined for powers of 2, we write  $n = 2^k$  and thus  $f(2^k) = \alpha f(2^{k-1})$ , or  $g(k) = \alpha g(k-1)$ , with  $f(1) = g(0) = 1$ . This yields the solution  $g(k) = \alpha^k$  and thus  $f(n) = \alpha^{\log_2 n} = n^{\log_2 \alpha}$ .

**Problem 2.**

(Exercise 2.43 in the text) Solve the recurrence  $f(n) = (f(n/2))^2$ , with  $f(1) = 1$ . Observation immediately yields  $f(n) = 1$ —all that ever happens is that the previous value gets squared, but since we start with 1, nothing ever changes! If we have had  $f(1) = c$ , with  $c > 1$ , then we would have written  $g(k) = (g(k-1))^2$  with  $g(0) = c$  and used backsubstitution (this recurrence is not linear!) to obtain

$$g(k) = (g(k-1))^2 = ((g(k-2))^2)^2 = (g(k-2))^4 = \dots = (g(0))^{2^k} = c^{2^k}$$

Reverting to  $n$  as a variable, we get  $f(n) = c^{2^{\log_2 n}} = c^n$ .

**Problem 3.**

(Exercise 2.44 in the text) Solve the recurrence  $f(n) = (2 + \frac{1}{\log n})f(n/2)$ , with  $f(1) = 1$ . Since this is only defined for powers of two, we use our standard strategy to rewrite it as  $g(k) = (2 + \frac{1}{k})g(k-1)$ , with  $g(0) = 1$ . As it stands, this recurrence has non-constant coefficients, so we cannot solve it directly. We can easily bound it, however. Note that the additional term  $\frac{1}{k}$  is always positive and never larger than 1. Thus, if we replace it by 0, we will get a lower bound; and if we replace it by 1, we will get an upper bound. Thus we get a lower bound function  $lb(k) = 2lb(k-1)$ , with  $lb(0) = 1$ , or  $lb(k) = 2^k$ ; in terms of  $n$ , the lower bound is thus just  $n$ . The upper bound is defined by  $ub(k) = 3ub(k-1)$ , with  $ub(0) = 1$ , yielding  $ub(k) = 3^k$ ; in terms of  $n$ , the upper bound is  $n^{\log_2 3}$ . Of the two bounds, the lower bound will be much tighter asymptotically, because  $\frac{1}{k}$  gets infinitesimally small as  $k$  goes to infinity. Thus we can state that  $f(n)$  is  $O(n^{\log_2 3})$  and  $\Omega(n)$ .

All of which begs the question: is  $f(n)$  in fact  $\Theta(n)$ ? The answer is no, but that takes a bit more work. We would need to show that  $f(n)$  does not grow faster than linearly. For that, we assume a specific form for  $f$ , then try verify that the right-hand side of the recurrence does not grow any faster than that specific form (which is the left-hand side). Thus write  $f(n) = an + h(n)$ , where  $h(n)$  is  $o(n)$ , i.e., grows strictly more slowly than  $n$ . Then we would want to verify that we have

$$an + h(n) \geq (2 + \frac{1}{\log n})f(n/2)$$

Simplifying terms, we get

$$h(n) \geq \frac{an}{2 \log n/2} + (2 + \frac{1}{\log n})h(n/2)$$

or

$$h(n) \geq 2h(n/2) + \frac{1}{\log n}h(n/2) + \frac{an}{2\log n}$$

which is only possible if  $h(n)$  grows at least linearly (because of the first term on the right-hand side). But we have assumed that  $h(n)$  grew strictly more slowly than linearly. Thus  $f(n)$  is not  $O(n)$ . On the other hand, we can show, with just a bit more work, that  $f(n)$  is  $O(n^{1+\epsilon})$  for any  $\epsilon > 0$ —really all we need to observe is that  $n^{1+\epsilon}/\log n$  grows faster than linearly.

#### Problem 4.

Solve the following recurrences in  $\Theta$  terms

1.  $f(n) = 3f(n/2) + \Theta(n)$ .

This is only defined for  $n$  a power of 2, so we get the new recurrence  $g(k) = 3g(k-1) + \Theta(2^k)$ . This has a homogeneous root of 3, which dominates the driving term (root of 2), so its solution is  $\Theta(3^k)$ ; thus  $f(n)$  is  $\Theta(n^{\log_2 3})$ .

2.  $f(n) = 4f(n-1) - 4f(n-2) + n^2 + 2^n$ . The homogeneous part has a double root of 2, so the homogeneous solution is of the form  $(an+b)2^n$ , which is  $\Theta(n2^n)$ . There are two driving terms, one a polynomial of degree 2 with an implicit root of 1 ( $n^2 1^n$ ) and the other a polynomial of degree 0 with a root of 2. The first term gives rise to a similar term in the inhomogeneous solution, that is, a  $\Theta(n^2)$  term; the second term must have its degree increased by two to reflect the fact that there are two identical roots in the homogeneous part and so will give rise to a  $\Theta(n^2 2^n)$  term in the inhomogeneous solution. Overall, that last term dominates everything, so that  $f(n)$  is  $\Theta(n^2 2^n)$ .

3.  $f(n) = 2f(n/2) - f(n/4) + \Theta(n)$ .

This is only defined for  $n$  a power of 2 (not a power of 4: if  $n$  is a power of 4,  $n/2$  no longer is). Thus we get the new recurrence  $g(k) = 2g(k-1) - g(k-2) + \Theta(2^k)$ . The homogeneous part has a double root of 1 and so gives rise to a solution of the form  $ak+b$ , which is  $\Theta(k)$ ; the driving term has no common root with the homogeneous root and so gives rise to an inhomogeneous solution of the same form, which is  $\Theta(2^k)$ . The latter dominates, so that  $g(k)$  is  $\Theta(2^k)$  and thus  $f(n)$  is  $\Theta(n)$ .