

# Short Single Axioms for Boolean Algebra\*

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**Abstract.** We present short single equational axioms for Boolean algebra in terms of disjunction and negation and in terms of the Sheffer stroke. Previously known single axioms for these theories are much longer than the ones we present. We show that there is no shorter axiom in terms of the Sheffer stroke. Automated deduction techniques were used in several parts of the work.

**Keywords:** Boolean algebra, Sheffer stroke, single axiom

## 1. Background and Introduction

In 1997, the following three equations were shown to be an axiomatization (a 3-basis) of Boolean algebra in terms of disjunction and negation [6].

$$x + y = y + x \quad (\text{Commutativity+})$$

$$(x + y) + z = x + (y + z) \quad (\text{Associativity+})$$

$$((x + y)' + (x' + y)')' = y \quad (\text{Robbins})$$

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Less well known is the following (equivalent) 2-basis due to Meredith in 1968 [11, p. 221].

$$(x' + y)' + x = x \quad (\text{Meredith}_1)$$

$$(x' + y)' + (z + y) = y + (z + x) \quad (\text{Meredith}_2)$$

Boolean algebra can be axiomatized with other connectives, and in 1913, Sheffer [14] presented the following 3-basis for Boolean algebra in terms of a binary connective now known as the Sheffer stroke, or NAND, that is,  $x|y = x' + y'$ .

$$(x|x)|(x|x) = x \quad (\text{Sheffer}_1)$$

$$x|(y|(y|y)) = x|x \quad (\text{Sheffer}_2)$$

$$(x|(y|z))|(x|(y|z)) = ((y|y)|x)|((z|z)|x) \quad (\text{Sheffer}_3)$$

Meredith [10] simplified matters in 1969 by presenting the following (equivalent) 2-basis for the same theory.

$$(x|x)|(y|x) = x \quad (\text{Meredith}_3)$$

$$x|(y|(x|z)) = ((z|y)|y)|x \quad (\text{Meredith}_4)$$

Recently, Veroff [16] further simplified matters by showing that the following pair of equations (conjectured by Stephen Wolfram) is a 2-basis for the same theory.

$$x|y = y|x \quad (\text{Commutativity})$$

$$(x|y)|(x|(y|z)) = x \quad (26a)$$

Researchers have known for some time that *single* equational axioms (i.e., 1-bases) exist for Boolean algebra, including representation in terms of disjunction and negation and in terms of the Sheffer stroke. In 1973, Padmanabhan and Quackenbush [13] presented a method for constructing a single axiom for any finitely based theory that has particular distributive and permutable congruences. Boolean algebra has these properties. However, straightforward application of the method usually yields single axioms of enormous length (sometimes with tens of millions of symbols). In [7], the construction method is used with a variety of automated deduction techniques to find single axioms of reasonable length for Boolean algebra with various sets of connectives. In particular, an axiom of length<sup>1</sup> 131, with six variables, was found

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<sup>1</sup> The *length* of an equation counts the number of connectives, the variable occurrences, and the equal sign (but not the parentheses). For example,  $(x + x) = x$  has length 5.

for disjunction and negation, and an axiom of length 105, also with six variables, was found for the Sheffer stroke.

The shortest previously reported single equational axiom for Boolean algebra in any set of connectives is in terms of negation and a ternary operation  $f$  defined as

$$f(x, y, z) = (x \cdot y) + ((y \cdot z) + (z \cdot x)). \quad (\text{TBA-op})$$

The following axiom, found by Padmanabhan and McCune, has length 26 with 7 variables [12].

$$f(f(x, x', y), f(f(z, u, v), w, f(z, u, v_6))', f(u, f(v_6, w, v), z)) = y. \quad (\text{TBA-ax})$$

In Section 2, we show that the equation

$$(((x + y)' + z)' + (x + (z' + (z + u)'))')' = z \quad (\text{DN}_1)$$

is a 1-basis (i.e., single axiom) for Boolean algebra in terms of disjunction and negation, and in Section 3 we show that

$$(x \mid ((y \mid x) \mid x)) \mid (y \mid (z \mid x)) = y \quad (\text{Sh}_1)$$

is a 1-basis for Boolean algebra in terms of the Sheffer stroke.

Equation (DN<sub>1</sub>) was found by automatically generating and semantically filtering a great number of equations, then sending the surviving candidates to the theorem prover OTTER [5, 4] to search for a proof of a known basis. Equation (Sh<sub>1</sub>) is a member of a list of 25 candidates sent to us by Stephen Wolfram [17], who asked whether OTTER could prove any of the candidates to be single axioms.<sup>2</sup> Section 6 contains details on the automated deduction techniques used to find the single axioms and prove the theorems.

In Section 4 we show that (Sh<sub>1</sub>), which has length 15, is a *shortest* single axiom in terms of the Sheffer stroke, and in Section 5 we narrow the list of possible length-15 Sheffer axioms to 16 candidates.

**Rewriting Axioms.** A frequently asked question:

*If we take a single axiom in one set of operations and rewrite it to another set of operations, do we necessarily get a single axiom in that second set of operations?*

Unfortunately, no. To see this, take any single axiom (or any basis) for Boolean algebra in terms of the Sheffer stroke, for example, (Sh<sub>1</sub>). Now consider a 2-element model of (Sh<sub>1</sub>), say,

$$\begin{array}{c|cc} & 0 & 1 \\ \hline 0 & 1 & 1 \\ 1 & 1 & 0 \end{array} \quad (\mathcal{M}_0)$$

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<sup>2</sup> Wolfram's 25 candidates are precisely the set of Sheffer identities of length  $\leq 15$  (excluding mirror images) that have no noncommutative models of size  $\leq 4$ .

Next, rewrite (Sh<sub>1</sub>) with the rule  $x|y = x' + y'$  to obtain

$$(x' + ((y' + x')' + x')')' + (y' + (z' + x')')' = y. \quad (\text{Sh}_{1a})$$

This equation is valid (with + as OR and ' as NOT), but it is not a single axiom: consider the 2-element interpretation of (Sh<sub>1a</sub>) in which  $x' = x$  and in which + is interpreted as in structure ( $\mathcal{M}_0$ ). This interpretation is a model of (Sh<sub>1a</sub>) (because removing the ' symbols from (Sh<sub>1a</sub>) gives an equation just like (Sh<sub>1</sub>)), but it is not a Boolean algebra with + as OR and ' as NOT.

**Mirror Images.** If we have a Boolean algebra basis  $\mathcal{B}$  in terms of disjunction and negation or in terms of the Sheffer stroke, then the mirror image of  $\mathcal{B}$ , obtained by reversing arguments of all occurrences of the binary operation, is also a basis.

**Pseudo Web Links.** This article has a companion page on the World Wide Web, <http://www.mcs.anl.gov/~mccune/papers/basax>. That Web page contains links to OTTER input files and other data files related to the work presented here. In this article, we refer to those files with bold-faced underlined pseudolinks **like this**.

## 2. A Basis for Disjunction and Negation

**Theorem 1.** *Equation (DN<sub>1</sub>) is a basis for Boolean algebra in terms of disjunction and negation.*

*Proof.* A straightforward calculation shows that (DN<sub>1</sub>) holds in Boolean algebra. The following 57-step OTTER derivation shows that the Robbins 3-basis  $\{(\text{Commutativity}), (\text{Associativity}), (\text{Robbins})\}$  follows from (DN<sub>1</sub>). The justification  $[m(i) \rightarrow n(j_1 \dots j_n)]$  indicates paramodulation (equality substitution with unification) from the  $i$ th argument of equation  $m$  into position  $(j_1 \dots j_n)$  of equation  $n$ .

$$\begin{array}{ll} 3 & (((x+y)' + z)' + (x + (z' + (z+u)'))')' = z \quad [\text{DN}_1] \\ 61 & ((x+y)' + (((z+u)' + x)' + (y' + (y+v)'))')' = y \\ & \quad \quad \quad [3 (1) \rightarrow 3 (1.1.1.1.1)] \\ 62 & ((x+y)' + ((z+x)' + (y' + (y+u)'))')' = y \\ & \quad \quad \quad [61 (1) \rightarrow 61 (1.1.2.1.1.1)] \\ 63 & ((x+x')' + x)' = x' \quad [3 (1) \rightarrow 61 (1.1.2)] \\ 64 & ((x+y)' + ((z+x)' + (((y+y')' + y)' + (y+u)'))')' = y \\ & \quad \quad \quad [63 (2) \rightarrow 62 (1.1.2.1.2.1.1)] \\ 65 & ((x+y)' + ((z+x)' + y)')' = y \quad [3 (1) \rightarrow 64 (1.1.2.1.2)] \\ 66^* & ((x+y)' + (x'+y)')' = y \quad [63 (1) \rightarrow 65 (1.1.2.1.1)] \\ 67 & (((x+y)' + x)' + (x+y)')' = x \quad [65 (1) \rightarrow 64 (1.1.2)] \end{array}$$

68	$(x+((x+y)' + x)')' = (x+y)'$	[67 (1) → 67 (1.1.1)]
69	$((x+y)' + z)' + (x+z)')' = z$	[67 (1) → 65 (1.1.2.1.1)]
70	$(x+((y+z)' + (y+x)'))' = (y+x)'$	[69 (1) → 69 (1.1.1)]
71	$((x+y)' + z)' + (x'+y)')' = (x'+y)'$	[66 (1) → 69 (1.1.2)]
72	$(x+((y+z)' + (z+x)'))' = (z+x)'$	[70 (1) → 70 (1.1.2.1.1)]
73	$((x+y)' + ((z+x)' + (y' + (u+y)')))' = y$	[70 (1) → 62 (1.1.2.1.2.1.2)]
74	$(x+y)' = (y+x)'$	[67 (1) → 72 (1.1.2)]
75	$((x+y)' + (y+z)')' + z' = (y+z)'$	[72 (1) → 74 (1)]
76	$(x+((x+y)' + z)')' + z' = ((x+y)' + z)'$	[67 (1) → 75 (1.1.1.1.1)]
77	$((x+y)' + x)' + y' = (y+y)'$	[65 (1) → 76 (1.1.1)]
78	$(x' + (y+x)')' = x$	[70 (1) → 73 (1)]
79	$((x+y)' + y)')' = y$	[74 (2) → 78 (1)]
80	$(x + (y+x'))' = x'$	[79 (1) → 69 (1.1.1)]
81	$(x+x)' = x'$	[79 (1) → 80 (1.1.2)]
83	$((x+y)' + x)' + y' = y'$	[77 (2) → 81 (1)]
85	$x'' = x$	[79 (1) → 83 (1)]
86	$((x+y)' + x)' + y = y''$	[83 (1) → 85 (1.1)]
87	$(x+y)'' = y+x$	[74 (2) → 85 (1.1)]
88	$x + ((y+z)' + (y+x)')' = (y+x)''$	[70 (1) → 85 (1.1)]
89*	$x+y = y+x$	[85 (1) → 87 (1)]
90	$((x+y)' + x)' + y = y$	[85 (1) → 86 (2)]
91	$((x+y)' + y)' + x = x$	[89 (2) → 90 (1.1.1.1.1)]
92	$x + ((y+x)' + y)')' = x$	[89 (2) → 90 (1)]
93	$(x+y)' + (y'+y)')' = (x+y)'$	[80 (1) → 92 (1.2.1.1)]
94	$(x+y)' + (y+y)')' = (x+y)'$	[78 (1) → 92 (1.2.1.1)]
95	$(x+y)' + (y'+y)')' = (x+y)'$	[89 (2) → 94 (1.2.1)]
96	$((x+y)'' + y)')' = (y'+y)'$	[93 (1) → 75 (1.1.1.1)]
97	$((x+y)'' + y)')' = (y'+y)'$	[85 (1) → 96 (1.1.1)]
98	$((x+y)' + z)' + (y'+y)')' = y$	[97 (1) → 69 (1.1.2)]
99	$x + ((y+z)' + (y+x)')' = y+x$	[85 (1) → 88 (2)]
100	$x + (y + ((z+y)' + x)')' = (z+y)' + x$	[79 (1) → 99 (1.2.1.1)]
101	$x + ((y+x)' + (y+z)')' = y+x$	[89 (2) → 99 (1.2.1)]
102	$((x+y)' + ((x+y)' + (x+z)')')' + y = y$	[101 (1) → 91 (1.1.1.1.1)]
103	$((x+y)' + z)' + y)'' = y$	[95 (1) → 98 (1.1)]
104	$x + ((y+x') + z)' = x$	[87 (1) → 103 (1)]
105	$x' + ((y+x) + z)' = x'$	[85 (1) → 104 (1.2.1.1.2)]
107	$(x+y)' + x = x+y'$	[92 (1) → 100 (1.2.1)]
108	$(x + (x+y'))' = (x+y)'$	[107 (1) → 68 (1.1.2.1)]
109	$((x+y)' + (x+z)')' + y = y$	[108 (1) → 102 (1.1)]
110	$((x+y)' + z)' + (x'+y)')' + y = (x'+y)''$	[71 (1) → 85 (1.1)]
111	$((x+y)' + z)' + (x'+y)')' + y = x'+y$	[85 (1) → 110 (2)]
112	$(x' + ((y+x)'' + (y+z)')')' + (y+z) = (y+x)'' + (y+z)$	

$$\begin{array}{ll}
& [109 (1) \rightarrow 111 (1.1.1.1.1)] \\
113 & (x'+((y+x)+(y+z))'+(y+z) = (y+x)''+(y+z) \\
& [85 (1) \rightarrow 112 (1.1.1.2.1.1)] \\
114 & (x'+((y+x)+(y+z))'+(y+z) = (y+x)+(y+z) \\
& [85 (1) \rightarrow 113 (2.1)] \\
115 & x''+(y+z) = (y+x)+(y+z) \quad [105 (1) \rightarrow 114 (1.1.1)] \\
117 & (x+y)+(x+z) = y+(x+z) \quad [85 (1) \rightarrow 115 (1.1)] \\
118 & (x+y)+(x+z) = z+(x+y) \quad [89 (2) \rightarrow 117 (1)] \\
119 & x+(y+z) = z+(y+x) \quad [117 (1) \rightarrow 118 (1)] \\
120 & x+(y+z) = y+(z+x) \quad [89 (2) \rightarrow 119 (1.2)] \\
121^* & (x+y)+z = x+(y+z) \quad [89 (2) \rightarrow 120 (1)]
\end{array}$$

Equation 66 is (Robbins), 89 is (Commutativity+), and 121 is (Associativity+).  $\square$

The preceding OTTER proof and the corresponding input file are available on line in the files **DN-1.proof** and **DN-1.in**.

In addition, we have found the following nine equations (excluding mirror images), all the same length as (DN<sub>1</sub>), to be single axioms for Boolean algebra in terms of OR and NOT.

$$\begin{array}{ll}
((x+y)' + z')' + ((u'+u)' + (z'+x))' = z & \text{(DN-13345)} \\
(((x+y)' + z')' + (x + (z + (z' + u)'))' = z & \text{(DN-20629)} \\
((x+y)' + ((x+z)' + (y' + (y+u)'))' = y & \text{(DN-20775)} \\
((x+y)' + ((x+z)' + (y + (y' + u)'))' = y & \text{(DN-20787)} \\
((x+y)' + ((y' + (z+y)')' + (x+u)'))' = y & \text{(DN-24070)} \\
((x+y)' + ((y + (z+y')')' + (x+u)'))' = y & \text{(DN-24086)} \\
((x+y)' + ((y' + (z+y)')' + (u+x)'))' = y & \text{(DN-24412)} \\
((x+y)' + ((y + (z+y')')' + (u+x)'))' = y & \text{(DN-24429)} \\
(((x+y)' + z)' + ((z' + (u+z)')' + y)')' = z & \text{(DN-24970)}
\end{array}$$

OTTER input files and proofs for these equations can be found on line in the files **DN-\*.in** and **DN-\*.proof**.

### 3. A Basis for the Sheffer Stroke

**Theorem 2.** *Equation (Sh<sub>1</sub>) is a basis for Boolean algebra in terms of the Sheffer stroke.*

*Proof.* A straightforward calculation shows that (Sh<sub>1</sub>) holds in Boolean algebra when the Sheffer stroke is interpreted as NAND (or as NOR). The following 66-step OTTER derivation shows that the Sheffer 3-basis {(Sheffer<sub>1</sub>),(Sheffer<sub>2</sub>),(Sheffer<sub>3</sub>)} follows from (Sh<sub>1</sub>).

3	$(x ((y x) x)) (y (z x)) = y$	[Sh <sub>1</sub> ]
70	$((x (y z)) (x (x (y z)))) (z ((x z) z)) (u (x (y z)))) = z ((x z) z)$	[3 (1) → 3 (1.1.2.1)]
71	$((x y) ((y (z y) y)) (x y)) (x y)) z = y (z y) y$	[3 (1) → 3 (1.2)]
72	$(x ((y x) x)) (y (z ((x z) z))) = y$	[71 (1) → 3 (1.2.2)]
73	$x ((x ((x x) x)) (y (x ((x x) x)))) = x ((x x) x)$	[72 (1) → 70 (1.1)]
74	$x ((x x) x) = x x$	[72 (1) → 73 (1.2)]
75	$(x ((x x) x)) (x x) = x$	[74 (1) → 3 (1.2)]
76	$(x x) (x (y x)) = x$	[74 (1) → 3 (1.1)]
77	$(x ((y y) x) x) y = y y$	[76 (1) → 72 (1.2)]
78	$((x y) ((x y) (x y)) (x y)) (x y) x = y (((x y) (x y)) y) y$	[77 (1) → 71 (1.1.2.1)]
79	$x (((y x) (y x)) x) x = y x$	[75 (1) → 78 (1)]
80	$(x x) (y x) = x$	[79 (1) → 76 (1.2)]
83	$x (y (x x)) = x x$	[80 (1) → 80 (1.1)]
84	$((x y) (x y)) y = x y$	[80 (1) → 80 (1.2)]
85	$x ((y x) x) = y x$	[84 (1) → 79 (1.2.1)]
86	$(x y) (x (z y)) = x$	[85 (1) → 3 (1.1)]
88	$(x (y z)) (x z) = x$	[80 (1) → 86 (1.2.2)]
89	$x ((x y) (z y)) = x y$	[86 (1) → 88 (1.1)]
90	$((x (y z)) z) x = x (y z)$	[88 (1) → 86 (1.2)]
91	$x ((y x) x) = x y$	[3 (1) → 89 (1.2)]
93	$x y = y x$	[85 (1) → 91 (1)]
95*	$(x y) (x x) = x$	[91 (1) → 88 (1.1)]
97	$(x y) (y (z x)) = y$	[91 (1) → 3 (1.1)]
101	$(x (y z)) (z x) = x$	[93 (1) → 88 (1.2)]
104	$(x y) (y (x z)) = y$	[93 (2) → 97 (1.2.2)]
105	$(x (y z)) (y x) = x$	[93 (2) → 101 (1.1.2)]
106	$((x y) (x z)) z = x z$	[104 (1) → 97 (1.2)]
108	$x (y (x (y z))) = x (y z)$	[105 (1) → 105 (1.1)]
109	$(x (y (x z))) y = y (x z)$	[105 (1) → 104 (1.2)]
110	$(x (y z)) (x (u (y x))) = (x (y z)) (y x)$	[105 (1) → 89 (1.2.1)]
114	$(x (y (x z))) y = y (z x)$	[93 (2) → 109 (2.2)]
115	$(x (y z)) (x (u (y x))) = x$	[105 (1) → 110 (2)]
116	$x (y (x y)) = x x$	[86 (1) → 114 (1.1)]
117	$x (y z) = x (z y)$	[109 (1) → 114 (1)]
118	$x (y (x (z (y x)))) = x x$	[115 (1) → 90 (1.1)]
119	$(x (y z)) ((y x) x) = (x (y z)) (x (y z))$	[105 (1) → 116 (1.2.2)]
120	$(x (y x)) y = y y$	[93 (2) → 116 (1)]
121	$(x y) z = z (y x)$	[93 (2) → 117 (1)]
122	$x (y (z (x y))) = x (y y)$	[118 (1) → 108 (1.2)]

- 123  $((x|y)|y)|(y|(z|x)) = (y|(z|x))|(y|(z|x))$  [101 (1)  $\rightarrow$  120 (1.1.2)]  
125  $(x|y)|(z|u) = (u|z)|(y|x)$  [117 (2)  $\rightarrow$  121 (1)]  
126  $x|(y|((y|x)|z)) = x|(y|y)$  [121 (2)  $\rightarrow$  122 (1.2.2)]  
127  $x|(y|x) = x|(y|y)$  [88 (1)  $\rightarrow$  122 (1.2.2)]  
128  $(x|y)|y = y|(x|x)$  [93 (2)  $\rightarrow$  127 (1)]  
130  $x|(y|y) = x|(x|y)$  [127 (1)  $\rightarrow$  117 (1)]  
131  $(x|(y|y))|(x|(z|y)) = (x|(z|y))|(x|(z|y))$  [128 (1)  $\rightarrow$  123 (1.1)]  
132  $(x|(y|z))|(x|(y|y)) = (x|(y|z))|(x|(y|z))$  [128 (1)  $\rightarrow$  119 (1.2)]  
133  $x|((y|y)|(z|(x|(x|y)))) = x|((y|y)|(y|y))$  [130 (1)  $\rightarrow$  122 (1.2.2.2)]  
134  $((x|(y|z))|(x|(y|z))|(y|y) = x|(y|y)$  [132 (1)  $\rightarrow$  106 (1.1)]  
135  $x|((y|y)|(z|(x|(x|y)))) = x|y$  [95 (1)  $\rightarrow$  133 (2.2)]  
136  $((x|y)|(x|y)|((z|(x|y)|z)|(x|y))|(x|x) = (z|(x|y)|z)|(x|x)$   
[120 (1)  $\rightarrow$  134 (1.1.1)]  
137  $(x|((y|z)|x))|(y|y) = (y|z)|(y|y)$  [80 (1)  $\rightarrow$  136 (1.1)]  
138  $(x|((y|z)|x))|(y|y) = y$  [95 (1)  $\rightarrow$  137 (2)]  
141  $x|((y|((x|z)|y))|x) = y|((x|z)|y)$  [138 (1)  $\rightarrow$  88 (1.1)]  
142  $x|((y|(y|(z|x)))|x) = y|((x|(y|(x|z)))|y)$  [114 (1)  $\rightarrow$  141 (1.2.1.2)]  
143  $x|((y|(y|(z|x)))|x) = y|(y|(z|x))$  [114 (1)  $\rightarrow$  142 (2.2)]  
144  $x|(y|(z|(z|(u|(y|x)))))) = x|(y|y)$  [143 (1)  $\rightarrow$  126 (1.2.2)]  
145  $x|(y|(y|(z|(x|y)))) = x|(y|(x|x))$  [144 (1)  $\rightarrow$  108 (1.2)]  
146  $x|(y|(y|(z|(x|y)))) = x|x$  [83 (1)  $\rightarrow$  145 (2)]  
147\*  $x|(y|(y|y)) = x|x$  [105 (1)  $\rightarrow$  146 (1.2.2.2)]  
149  $x|(((y|(z|x))|(y|(z|x))|(z|z)) = x|(y|(z|x))$   
[146 (1)  $\rightarrow$  135 (1.2.2)]  
151  $x|(y|(z|z)) = x|(y|(z|x))$  [134 (1)  $\rightarrow$  149 (1.2)]  
152  $x|(y|(z|z)|x) = x|(y|z)$  [95 (1)  $\rightarrow$  151 (1.2.2)]  
155  $(x|(y|y))|(x|(z|(y|y)|x)) = (x|(z|y))|(x|(z|y))$   
[152 (2)  $\rightarrow$  131 (1.2)]  
156  $(x|(y|y))|(x|(z|(x|(y|y)))) = (x|(z|y))|(x|(z|y))$   
[121 (1)  $\rightarrow$  155 (1.2.2.2)]  
157  $(x|(y|y))|(x|(z|z)) = (x|(z|y))|(x|(z|y))$  [151 (2)  $\rightarrow$  156 (1)]  
158\*  $((x|x)|y)|((z|z)|y) = (y|(x|z))|(y|(x|z))$  [125 (2)  $\rightarrow$  157 (1)]

Equation 95 is a generalization of (Sheffer<sub>1</sub>), 147 is (Sheffer<sub>2</sub>), and 158 (flipped, with variables renamed) is (Sheffer<sub>3</sub>).  $\square$

The preceding OTTER proof and the corresponding input file are available on line in the files **Sh-1.proof** and **Sh-1.in**.

Excluding mirror images, we have proved that one other member of the set of 25 candidates is a single axiom for Boolean algebra in terms of the Sheffer stroke, namely,

$$(((y|(x|y))|y)|(x|(z|y))) = x. \quad (\text{Sh}_2)$$



A proof that (Sh<sub>2</sub>) is a single axiom is in the file Sh-2.proof; the corresponding input file is Sh-2.in.

#### 4. (Sh<sub>1</sub>): A Shortest 1-Basis for the Sheffer Stroke

Our proof that there is no single axiom for Boolean algebra in terms of the Sheffer stroke with fewer symbols than (Sh<sub>1</sub>) begins along the lines of Kunen's proofs of similar properties for group axioms [3].

**Lemma 1.** *Any single axiom for the Sheffer stroke must be of the form  $\tau = x$ , where  $x$  is an individual variable.*

*Proof.* Consider any structure  $\mathcal{M}$ , containing at least 2 elements, in which  $x|y$  is a constant.  $\mathcal{M}$  is not Boolean. But, any equation of the form  $\alpha = \beta$  in which neither  $\alpha$  nor  $\beta$  is an individual variable will be true in  $\mathcal{M}$ . □

**Lemma 2.** *If  $\tau = x$  is a single axiom for Boolean algebra in terms of the Sheffer stroke, then neither the leftmost nor the rightmost variable (ignoring parentheses) in  $\tau$  is  $x$ .*

*Proof.* If the leftmost variable in  $\tau$  is  $x$ , then  $\tau = x$  is true in any structure in which  $x|y = x$ . Such projection models are not Boolean. The right-hand case is similar. □

**Lemma 3.** *No equation of the form  $(y|\tau) = x$  or  $(\tau|y) = x$  (where  $x$  and  $y$  are individual variables and  $\tau$  is any term) can be a Boolean identity in terms of the Sheffer stroke.*

*Proof.* Consider the 2-element NAND interpretation of the Sheffer stroke. If  $y$  takes the value 0, then  $(y|\tau)$  and  $(\tau|y)$  both receive the value 1, regardless of the values any other variables take. □

**Theorem 3.** *Every single equational axiom for Boolean algebra in terms of the Sheffer stroke has length at least 15.*

*Proof.* We begin by noting that any equation (in the Sheffer stroke) of the form  $\tau = x$ , where  $x$  is an individual variable, must have an odd length. So, all we need to show is that no Boolean identity of the form  $\tau = x$  with length 3, 5, 7, 9, 11, or 13 is a single axiom for the Sheffer stroke.

To this end, we note first that any equation of the form  $\tau = x$  with length less than 15 must match exactly one of 64 templates. This

exhaustive list of 64 templates can be reduced to the following 19 by Lemma 3.

$$\begin{array}{ll}
((-|-)|(-|-)) = - & ((-|((-|-)|-))|(-|-)) = - \\
(((|-)|-)|(-|-)) = - & ((-|(-|(-|-)))|(-|-)) = - \\
((-|(-|-))|(-|-)) = - & ((-|(-|-))|((-|-)|-)) = - \\
((-|-)|((-|-)|-)) = - & ((-|(-|-))|(-|(-|-))) = - \\
((-|-)|(-|(-|-))) = - & ((-|-)|(((|-)|-)|-)) = - \\
((((|-)|-)|-)|(-|-)) = - & ((-|-)|((-|(-|-))|-)) = - \\
(((|-|(-|-))|(-|-)) = - & ((-|-)|((-|-)|(-|-))) = - \\
(((|-)|(-|-))|(-|-)) = - & ((-|-)|(-|((-|-)|-))) = - \\
(((|-)|-)|((-|-)|-)) = - & ((-|-)|(-|(-|(-|-)))) = - \\
(((|-)|-)|(-|(-|-))) = - &
\end{array}$$

We have written programs to implement the following procedure.

1. For each of the 19 templates,
  - (a) generate all well-formed equations  $\tau = x$  matching the template;
  - (b) delete the equations with  $x$  as the leftmost or rightmost variable of  $\tau$ ;
  - (c) delete equations that are not Boolean identities (BIs);
  - (d) delete the BIs that are subsumed by other BIs for this template.
2. With the union of the BIs from all 19 templates, delete BIs that are subsumed by other BIs in the set.
3. Delete mirror images (allowing variable renaming).

We are left with the following eight equations.

$$\begin{array}{l}
((y|x)|(x|(y|z))) = x \\
((y|x)|(x|(z|y))) = x \\
((y|x)|(x|(z|(x|z)))) = x \\
((y|x)|(x|(z|(z|z)))) = x \\
((y|x)|(x|((x|x)|z))) = x \\
((y|x)|(x|((x|z)|z))) = x \\
((y|x)|(x|((z|x)|z))) = x \\
((y|x)|(x|((z|z)|z))) = x
\end{array}$$

Every Boolean identity of length less than 15, except those excluded by Lemma 1 or 2, is an instance of one of these eight BIs. However,

none of these can be a single axiom because each is true in the following non-Boolean structure (found by the model searching programs SEM [20] and MACE[8]).

$$\begin{array}{c|cccc}
 & 0 & 1 & 2 & 3 \\
 \hline
 0 & 0 & 2 & 0 & 2 \\
 1 & 0 & 2 & 0 & 2 \\
 2 & 1 & 3 & 1 & 3 \\
 3 & 1 & 3 & 1 & 3
 \end{array} \tag{\mathcal{M}_1}$$

This completes the proof of Theorem 3, and with it the demonstration that there is no single equational axiom for the Sheffer stroke shorter than (Sh<sub>1</sub>). □

### 5. An Exhaustive List of Possible 15-Symbol Single Axioms

Using our programs for generating and filtering formulas, we show that all but 16 of the length-15 Boolean identities, excluding mirror images and the known single axioms, are not single axioms.

We begin our argument by noting, as we did in the less-than-length-15 cases, that all length-15 Boolean identities of the form  $\tau = x$  must be an instance of exactly one of 48 length-15 templates. When all well-formed equations, Boolean identities, and most general Boolean identities are generated from these 48 templates using the same techniques as in the proof of Theorem 3, there remain a total of 772 most general Boolean identities on our initial, exhaustive list of length-15 candidate formulas (counting the 4 known single axioms). When this list is filtered, (1) by eliminating equations with  $x$  as leftmost or rightmost variable of the left side (by Lemma 2), (2) by removing mirror images, (3) by using the following 10 structures (found by SEM), all but 18 formulas (including the 2 known single axioms) are eliminated.

$$\begin{array}{c|cccc}
 & 0 & 1 & 2 & 3 \\
 \hline
 0 & 0 & 2 & 0 & 2 \\
 1 & 0 & 2 & 0 & 2 \\
 2 & 1 & 3 & 1 & 3 \\
 3 & 1 & 3 & 1 & 3
 \end{array} \tag{\mathcal{M}_1}
 \qquad
 \begin{array}{c|ccc}
 & 0 & 1 & 2 \\
 \hline
 0 & 0 & 1 & 2 \\
 1 & 2 & 0 & 1 \\
 2 & 1 & 2 & 0
 \end{array} \tag{\mathcal{M}_2}$$
  

$$\begin{array}{c|ccc}
 & 0 & 1 & 2 \\
 \hline
 0 & 0 & 2 & 1 \\
 1 & 1 & 0 & 2 \\
 2 & 2 & 1 & 0
 \end{array} \tag{\mathcal{M}_3}
 \qquad
 \begin{array}{c|cccc}
 & 0 & 1 & 2 & 3 \\
 \hline
 0 & 0 & 2 & 3 & 1 \\
 1 & 1 & 3 & 2 & 0 \\
 2 & 2 & 0 & 1 & 3 \\
 3 & 3 & 1 & 0 & 2
 \end{array} \tag{\mathcal{M}_4}$$

	0	1	2	3	
0	1	0	1	2	
1	2	3	0	2	( $\mathcal{M}_5$ )
2	1	0	3	2	
3	1	2	2	2	

	0	1	2	3	
0	1	2	0	0	
1	0	2	2	0	( $\mathcal{M}_6$ )
2	0	2	1	3	
3	0	2	3	1	

	0	1	2	3	
0	2	0	2	0	
1	2	3	3	2	( $\mathcal{M}_7$ )
2	2	3	0	1	
3	2	2	1	1	

	0	1	2	3	4	
0	0	2	3	4	1	
1	3	1	4	2	0	( $\mathcal{M}_8$ )
2	4	0	2	1	3	
3	1	4	0	3	2	
4	2	3	1	0	4	

	0	1	2	3	4	5	
0	1	1	1	1	1	1	
1	1	0	3	2	5	4	
2	1	3	3	1	3	3	( $\mathcal{M}_9$ )
3	1	2	1	2	2	2	
4	1	5	5	5	5	1	
5	1	4	4	4	1	4	

	0	1	2	3	4	5	6	7	
0	1	1	1	1	1	1	1	1	
1	1	0	3	4	5	6	7	2	
2	1	7	1	1	1	7	7	7	
3	1	2	3	3	1	7	7	2	( $\mathcal{M}_{10}$ )
4	1	3	3	3	1	1	1	3	
5	1	4	3	4	5	5	1	3	
6	1	5	1	5	5	5	1	1	
7	1	6	1	5	5	6	7	7	

By eliminating mirror images and the known single axioms, we have the following list of 16 length-15 candidates.<sup>3</sup>

$$((y|(y|(y|x))))|(x|(y|z))) = x \quad (\mathcal{C}_1)$$

$$((y|(y|(x|y))))|(x|(z|y))) = x \quad (\mathcal{C}_2)$$

$$((y|(y|(x|x))))|(x|(z|y))) = x \quad (\mathcal{C}_3)$$

$$((y|(y|(x|z))))|(x|(z|y))) = x \quad (\mathcal{C}_4)$$

$$((y|(y|(z|x))))|(x|(y|z))) = x \quad (\mathcal{C}_5)$$

$$((y|((x|y)|y))|(x|(y|z))) = x \quad (\mathcal{C}_6)$$

$$((y|(y|(y|x))))|(x|(z|y))) = x \quad (\mathcal{C}_7)$$

$$(((y|x)|y)|y)|(x|(z|y))) = x \quad (\mathcal{C}_8)$$

$$(((y|x)|z)|z)|(x|(y|z))) = x \quad (\mathcal{C}_9)$$

$$(((y|(y|x))|y)|(x|(z|y))) = x \quad (\mathcal{C}_{10})$$

$$(((y|(x|x)|y)|(x|(z|y))) = x \quad (\mathcal{C}_{11})$$

$$(((y|x)|z)|z)|(x|(z|y))) = x \quad (\mathcal{C}_{12})$$

$$(((y|x)|y)|y)|(x|(y|z))) = x \quad (\mathcal{C}_{13})$$

$$(((y|(x|z))|y)|(x|(y|z))) = x \quad (\mathcal{C}_{14})$$

<sup>3</sup> This list is a subset (modulo mirror images) of the set of 25 candidates.

$$(((y|(z|x))|y)|(x|(y|z))) = x \tag{C_{15}}$$

$$(((y|(y|x))|y)|(x|(y|z))) = x \tag{C_{16}}$$

The preceding argument constitutes our proof of the following.

**Theorem 4.** *Every length-15 single axiom for Boolean algebra in terms of the Sheffer stroke is a member of the set  $\{\text{Sh}_1, \text{Sh}_2, \text{C}_1\text{--}\text{C}_{16}\}$  or is a mirror image of a member of that set.*

The most general Sheffer stroke identities constructed in the proof of Theorem 4 are summarized in Table I. Note that the list of most general identities of length  $\leq 15$  is not simply the union of the other four lists, because some of the equations are subsumed by shorter ones. The lists are available on line in the named files.

Table I. Most General Sheffer Identities

Length	Number	Filename
9	4	<u>Sheffer-mgi-09</u>
11	24	<u>Sheffer-mgi-11</u>
13	104	<u>Sheffer-mgi-13</u>
15	772	<u>Sheffer-mgi-15</u>
9+11+13+15	712	<u>Sheffer-mgi</u>
9+11+13+15	356	<u>Sheffer-mgi-without-mirrors</u>

Also, the list of interpretations  $\mathcal{M}_1\text{--}\mathcal{M}_{10}$  is available on line in the file Sheffer-interpretations.

## 6. Automated Deduction Methods

We used special-purpose programs to generate candidate axioms, SEM [20] and MACE [8] to search for non-Boolean structures that satisfy candidates, OTTER to search for proofs that candidates are axioms and to shorten proofs, and Ivy [9] to check OTTER's proofs.

**Disjunction and Negation.** The basic approach to searching for a disjunction/negation single axiom was to enumerate identities and apply a semantic filter to eliminate some of those that are too weak to be single axioms. The semantic filter takes a set of finite non-Boolean structures and a stream of identities; the identities that are true in any of the structures are eliminated. The set of non-Boolean structures was constructed iteratively, by sending identities that pass the filter to SEM or to MACE to search for noncommutative or nonidempotent

structures. Any found structures were used for subsequent filtering. Candidates that survived the filters were given to OTTER to search, for up to 10 seconds, for proofs of any of a given set of Boolean properties. If any of the Boolean properties were proved, we tried to prove one of the known bases with further OTTER searches.

The basic approach was applied exhaustively, without success, up through five occurrences of the disjunction symbol  $+$ , without considering equations containing terms  $\tau''$  for any term  $\tau$ . Not all of the identities were eliminated by semantic filtering, so we cannot say that there is no single axiom with less than six occurrences of  $+$ . With six occurrences of  $+$ , we first considered three variables, without success; then we found single axioms with four variables.

File **DN-filter.interps** gives the final list of non-Boolean structures that were used, file **DN-search.in** is an example of the input for a 10-second OTTER search, and file **DN-20615.in** is similar to the input that first showed  $(DN_1)$  to be a single axiom.

**Sheffer Stroke.** Proofs for the Sheffer axioms  $(Sh_1)$  and  $(Sh_2)$  were found by a different route. A separate investigation on Sheffer stroke 2-bases, prompted by Stephen Wolfram's candidates, was conducted by Veroff [16]. The main result of that work is the simple 2-basis  $\{\text{Commutativity}, 26a\}$  in Section 1. A secondary result is that commutativity, along with any member of Wolfram's 25 single axiom candidates (which includes  $(Sh_1)$  and  $(Sh_2)$ ), forms a 2-basis. Given those results, it is not difficult for OTTER to show (see file **Sh-1-comm.in**) that  $(Sh_1)$  and  $(Sh_2)$  are single axioms by simply deriving commutativity.<sup>4</sup> The automated deduction aspect of the proofs of Theorems 3 and 4 was in the use of SEM to find non-Boolean structures satisfying candidates; the use of SEM was straightforward, with no special options.

**Finding Better Proofs.** The OTTER proofs presented in Sections 2 and 3 are shorter and simpler than the ones first found by OTTER. We improved the proofs in three ways. (1) OTTER finds proofs by contradiction, and frequently the search goes forward from the hypotheses and backward from the goals, resulting in a bidirectional proof. The proofs were transformed into strictly forward proofs. (2) Searches for equational proofs usually make heavy use of demodulation, and OTTER presents its proofs without the individual demodulation steps. The demodulation steps were transformed into paramodulation steps so that they would appear in the proofs. (3) OTTER proofs are usually

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<sup>4</sup> We have since learned from Jürgen Avenhaus [1] that the equational prover Waldmeister [2] can prove that  $(Sh_1)$  and  $(Sh_2)$  are single axioms (by deriving Sheffer's original 3-basis) if negation is included as a defined operation.

longer (sometimes much longer) than necessary. Several of the proof-shortening methods described in [19] were applied to the proofs. In particular, certain equations in the current working proof were blocked while steps similar to the remaining steps were preferred. The method was applied iteratively, resulting in the proofs presented here. The input files for those proofs, [DN-1.in](#) and [Sh-1.in](#), contain *hints* [15] that guide OTTER directly to the improved proofs. The proof-shortening methods are not guaranteed to find shortest proofs, and we suspect that shorter proofs exist.

**Circles of Pure Proofs.** Given  $n$  equivalent (possibly assuming additional axioms) formulas,  $F_1, \dots, F_n$ , a *circle of pure proofs* is a set of  $n$  proofs,

$$F_1 \rightarrow \dots \rightarrow F_n \rightarrow F_1,$$

such that each proof  $F_i \rightarrow F_j$  contains none of the  $n - 2$  other formulas. The existence problem for circles of pure proofs arose for a set of three (and later four) Moufang loop identities and for equivalential calculus single axioms [18]. As a side trip to this project, we have applied techniques similar to the ones described in that paper to find a circle of proofs for the four known length-15 single axioms for the Sheffer stroke:  $(Sh_1)$ ,  $(Sh_2)$ , and their mirror images. The OTTER input files and the corresponding proofs are available on line in files [circle-\[1234\].in](#) and [circle-\[1234\].proof](#).

**Soundness of Computer Proofs.** Theorems produced by computers are always questionable. To check OTTER's proofs, we ran them through Ivy, an independent proof checker about which several soundness metatheorems have been proved [9]. Theorems proved by exhaustive enumeration are even more questionable, because explicit proofs are not produced. To check our proofs of Theorems 3 and 4, two of the authors independently wrote code, in different languages, to generate and filter formulas, and we have made the resulting sets of formulas available on line (see Table I).

## 7. Summary and Questions

Tables II and III summarize several properties of the disjunction/negation and Sheffer bases, respectively, and compare them with the previously known bases shown in Section 1.

Table II. OR/NOT Bases

Basis	Axioms	Length	ORs	NOTs	Variables
(DN <sub>1</sub> )	1	22	6	7	4
(Meredith)	2	9+15	7	4	3
(Robbins)	3	7+11+13	9	4	3

Table III. Sheffer Stroke Bases

Basis	Axioms	Length	Strokes	Variables
(Sh <sub>1</sub> )	1	15	6	3
(26a-Commutativity )	2	7+11	6	3
(Meredith)	2	9+15	9	3
(Sheffer)	3	9+11+23	17	3

Three questions remain open.

1. Is there a single axiom in terms of disjunction and negation that has only three variables? (Any equational basis for Boolean algebra must have at least three variables.)
2. Is there a single axiom in terms of disjunction and negation with length less than 22 (i.e., that is shorter than (DN<sub>1</sub>))?
3. Which, if any, of the remaining length-15 candidates ( $\mathcal{C}_1$ )–( $\mathcal{C}_{16}$ ) are single axioms for the Sheffer stroke?

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