## **Measures of Complexity**

- Entropy
- AIC (Kolmogorov Complexity)
- EFFECTIVE COMPLEXITY
- Total Information
- Logical Depth
- Statistical Complexity
- Size
- Fractal Dimension

# Gell-Mann's Effective Complexity

- The length of the shortest description of a set's regularities
- EC(x) = K(r) where r is the set of regularities in x and Kolmogorov Complexity (or AIC), K(r), is the length of a concise description of a set
- Highest for entities that are not strictly regular or random



# Algorithmic Complexity (AIC)

(also known as Kolmogorov-Chaitin complexity)

- Kolomogorov complexity or Algorithmic Information Content (AIC), written K(x), is the length, in bits, of the smallest program that when run on a Universal Turing Machine outputs (prints) x and then halts.
- Example: What is *K(x)* 
  - where x is the first 10 even natural numbers?
  - where x is the first 5 million even natural numbers?
- Possible representations where *n* is the length of *x*

- 0, 2, 4, 6, 8, 10, 12, 14, 16, 18, ... (2n - 2)

- for (j = 0; j < n: j++) printf("%d\n", j \* 2)</pre>

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-0, 2, 4, 6, 8, 10, 12, 14, 16, 18, ... (2n - 2)  $K(x) = O(n \log n) bits$ 

- for (j = 0; j < n: j++) printf("%d\n", j \* 2)  $K(x) = O(\log n) bits *$ 

\*Complexity of program <u>size (length)</u>, not program running time

# Algorithmic Complexity (AIC)

(also known as Kolmogorov-Chaitin complexity)

- AIC formalizes what it means for a set of numbers to be compressible
  - Data that are redundant can be compressed and have lower AIC.
  - Random strings are incompressible, therefore contain no regularities to compress
    - *K(x)* = | Print(x) |
- Implication: The more random a system, the greater its AIC (and greater entropy)
- Contrast with Statistical simplicity

<u>Random strings are simple</u> because you can approximate them statistically

- Coin toss, random walks, Gaussian (normal distributions)
- You can compress random numbers with statistical descriptions and only a few parameters

s1 = 111111111111111
for i:=1:16
print '1'

```
K(s) is O(log n) where n = length(s1)
The dominant term is the number "16" whose representation in bits will require log_2 16 = 4 bits
```

 S2 is a string of 1's, length(s2) = 1 billion for i:=1:1,000,000,000 print '1'

```
K(s1) is O(log n) where n = length(s2)
K(s2) is O(log<sub>2</sub>10<sup>9</sup>) (approximately 30 bits)
```

Constant terms such as the representation of the print statement are ignored

The minimum K(x), given length(x) = n, is log(n).

- s3 = 0101010101010101
- s4 = 011011011011011
- s5 = 000100001000100001
- s6 = 01110111011000110110101

#### 

#### s4 = 011011011011011

for i:=1:5
 print '011'
3 bits to represent the repeating pattern, log(5) bits to represent the number of repetitions
K(s4) = O(log(n))

#### s5 = 000100001000100001

for c = 1:2

print '000100001'

8 bits to represent the repeating pattern, 1 bit to represent the repetitions. Here the length of the pattern is  $\frac{1}{2}$  n, so

K(s5) = O(n)

#### s6 = 01110111011000110110101

### There are two problems with AIC

- Calculation of K(x) depends on the machine we have available (e.g., what if we have a machine with an instruction "print the first 10 even natural numbers"?)
  - COMPLEXITY DEPENDS ON CONTEXT
- Determining K(x) for arbitrary x is uncomputable

#### Problem 1: K(x) depends on the programming language

Resolution: optimal specification functions can be defined so that

"The complexity of an object *x* is invariant (up to an additive constant independent of *x*) under transition from one optimal specification function to another." (Li & Vitanyi "An Introduction Kolmogorov Complexity and it's Applications" 2008)

#### http://jeremykun.com/2012/04/21/kolmogorov-complexity-aprimer/

**Lemma:** For any strings w, x and any language L, we have  $K_L(w|x) \le |w| + c$  for some constant c independent of w, x, and  $K_L(w) \le |w| + c'$  for some constant c' independent of w.

*Proof.* The program which trivially outputs the desired string has length |w| + c, for whatever constant number of letters c is required to dictate that a string be given as output. This is clearly independent of the string and any input.  $\Box$ 

It is not hard to see that this definition is invariant under a choice of language L up to a constant factor. In particular, let w be a string and fix two languages L, L'. As long as both languages are *universal*, in the sense that they can simulate a universal Turing machine, we can relate the Kolmogorov complexity of w with respect to both languages. Specifically, one can write an interpreter for L in the language of L' and vice versa. We saw a

# Problem 2: Identifying the shortest program to print the string is uncomputable

**Resolution:** None

It is not possible to determine the amount of randomness in any arbitrary string

### Regularities can be difficult to identify

- s6 = 01110111011000110110101
- It looks like a random string, so K(s6) = length(s6)
   But its not !
- What is the shortest program that would produce this string?

### **Fibonacci Series**

### 

A short computer program (of length  $(L_{fb})$  that produces the Fibonacci series can generate s6, so K(s6) can be reduced to max  $(L_{fb}, \log(n)$  where n specifies the length of the Fibonacci series to be printed.

What About

#### 

This is a statistically random string.

It is also the binary representation of the first decimals of pi.

A short program could generate this random string.

#### Gell-Mann:

In Evolution "Frozen Accidents" cause regularities

Identify "frozen accidents" (regularities) in genomes

Calculate Effective Complexity as the AIC of the regularities



WHEN PEOPLE ASK FOR STEP-BY-STEP DIRECTIONS, I WORRY THAT THERE WILL BE TOO MANY STEPS TO REMEMBER, SO I TRY TO PUT THEM IN MINIMAL FORM.

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### What's a regularity?

- Gell Mann suggests one formal way to identify regularities
- Determine mutual AIC between parts of the string
  - If x = [x1, x2]
  - K(x1,x2) = K(x1) + K(x2) K(x)
  - The sum of the AICs of the parts the AIC of the whole
  - Eg. <u>10010</u> 10011 <u>10010</u> -the whole has more regularity than the sum of the regularities in the parts
  - Regularities exist when K(x1,x2) > 0
- Identify the regularities, r,
- Calculate K(r), the AIC of the regularities
- Effective complexity = K(r)

Here r = 10010 AIC of 10010 which is O(5) Effective Complexity is O(5)

# Logical Depth

- Bennett 1986;1990:
  - The Logical depth of x is the run time of the shortest program that will cause a UTM to produce x and then halt.
  - Logical depth is not a measure of randomness; it is small both for trivially ordered and random strings.
- Drawbacks:
  - Uncomputable.
  - Loses the ability to distinguish between systems that can be described by computational models less powerful than Turing Machines (e.g., finite-state machines).
- Ay et al 2008, recently proposed proof that strings with high effective complexity also have high logical depth, and low effective complexity have small logical depth.

### **Total Information**

- Alternative approach in Gell-Mann & Lloyd 1998
- EC(x) = K(E) where E is the set of entities of which x is a typical member
- Then K(x) is the length of the shortest program required to specify the members of a the set of which x is a typical member
- Effective complexity measures knowledge—the extent to which the entity is nonrandom and predictable
- Total Information is Effective complexity, K(E), + the Shannon Information of the peculiarities (remaining randomness)
- TI(x) = K(E) + H(x)
- There is a tradeoff between the effective complexity (the completeness of a description of the regularities) and the remaining randomness
- Ex: 10010 10011 10010 10011 10010 10011

## Summary of Complexity Measures

#### • Information-theoretic methods:

- Shannon Entropy
- Algorithmic complexity
- Mutual information
- Effective Complexity:
  - Neither regular nor random entities have high Effective Complexity
- Total Information: Effective Complexity + Entropy
  - AIC of regularities + entropy of what remains
- Computational complexity:
  - How many resources does it take to compute a function?
- The language/machine hierarchy:
  - How complex a machine is needed to compute a function?
- Logical depth:
  - Run-time of the shortest program that generates the phenomena and halts.
- Asymptotic behavior of dynamical systems:
  - Fixed points, limit cycles, chaos

### Defining Complexity Suggested References

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- Gell-Mann, The Quark and the Jaguar (1994)
- Ay, Muller & Szkola, Effective Complexity and its Relation to Logical Depth, ArXiv (2008)

### Kolmogorov Complexity References

- Kolmogorov Complexity With Python <u>https://www.youtube.com/watch?v=KyB13PD-UME</u>
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- <u>http://www.neilconway.org/talks/kolmogorov.pdf</u>
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- http://c2.com/cgi/wiki?KolmogorovComplexity