

# Comparison of Deep Reinforcement Learning Policies to Formal Methods for Moving Obstacle Avoidance

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**Abstract**—Deep Reinforcement Learning (RL) has recently emerged as a solution for moving obstacle avoidance. Deep RL learns to simultaneously predict obstacle motions and corresponding avoidance actions directly from robot sensors, even for obstacles with different dynamics models. However, deep RL methods typically cannot guarantee policy convergences, i.e., cannot provide probabilistic collision avoidance guarantees. In contrast, stochastic reachability (SR), a computationally expensive formal method that employs a known obstacle dynamics model, identifies the optimal avoidance policy and provides strict convergence guarantees. The availability of the optimal solution for versions of the moving obstacle problem provides a baseline to compare trained deep RL policies. In this paper, we compare the expected cumulative reward and actions of these policies to SR, and find the following. 1) The state-value function approximates the optimal collision probability well, thus explaining the high empirical performance. 2) RL policies deviate from the optimal significantly thus negatively impacting collision avoidance in some cases. 3) Evidence suggests that the deviation is caused, at least partially, by the actor net failing to approximate the action corresponding to the highest state-action value.

## I. INTRODUCTION

Moving obstacle avoidance is critical for many robotic applications such as self-driving cars [1], UAVs [2] and service robots [3]. However, it is a challenging problem to solve because even in the simplest case, where a 2D holonomic robot must avoid collision with polygonal obstacles moving at constant velocities, planning is NP-Hard [4] and in PSPACE [5]. Several motion planning algorithms exist for obstacle avoidance in dynamic environments [6], [7], [8]. In environments with stochastically moving obstacles, the most successful methods work by predicting the obstacle direction and velocity [6]. However, due to the problem complexity, no current solutions can guarantee collision-free navigation in crowded stochastic environments [6]. Formal methods, such as Stochastic Reachability (SR) analysis, assesses if the robot will, with a certain likelihood, remain within a desired subset of the robot state space. SR, through dynamic programming and the use of models of obstacle dynamics, can be formulated to provide probabilistic guarantees on avoiding stochastically moving obstacles.

Deep RL policies can map sensor observations, such as LiDAR information, directly to robot action, and have

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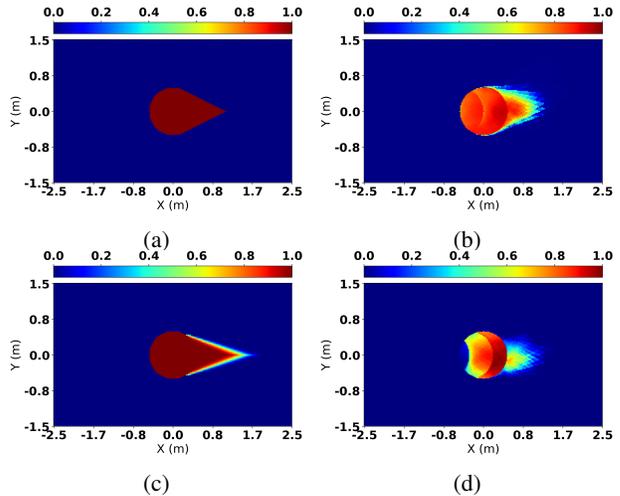


Fig. 1.  $V_{SR}$  (a, c) and normalized  $V_{RL}$  (b, d) in the relative coordinates for deterministic (a, b) and stochastic (c, d) obstacle motions. The color represents the collision probability.

outperformed traditional approaches in tasks such as manipulation [9] and legged locomotion [10]. Deep RL has also been used for moving obstacle avoidance [11], [12], [13], where they learn to simultaneously predict obstacle motions and corresponding avoidance actions through trial-and-error. However, these methods have the following issues. 1) They use deep neural networks as nonlinear function approximators. Thus, in general case, there is no guarantee that the learned policy converges to an optimal or that collision avoidance is assured with a certain probability. 2) Deep RL methods are sensitive to hyperparameters and sometimes even random seeds [14], and 3) the learned robot behaviors are often difficult to interpret.

To better understand the performance of deep RL moving obstacle avoidance policies and gain insights into their behavior, we compare them with SR, which provides an optimal obstacle avoidance policy as well as collision probability. Since SR is limited in the dimensionality of the problems that it can address [15], we consider a single obstacle problem that has a single obstacle moving in one direction (from left to right), and focus our comparison on avoiding that obstacle in order to help us gain insights into the general obstacle avoidance problem.

To do this, we first design a reward function that promotes obstacle avoidance and then train RL policies. Next, we interpret the corresponding state value function, an expected cumulative reward of the learned policy, as a proxy for collision probability. This interpretation enables the direct

comparison of SR and RL. Specifically, we focus on A3C [16], a deep RL algorithm, and analyze the policy (actor) and state value function (critic) neural networks, to gain insights into its behavior and how it differs from SR.

The main contributions are the following. 1) We present a comprehensive comparison between a deep RL algorithm and a formal method (SR) for dynamic obstacle avoidance. 2) We also identify the potential points of failure of RL policies that provides insights on where additional safety policies might be required.

Results reveal the following. 1) End-to-end deep RL obstacle avoidance policies have up to 15% higher success than a state of the art multi-obstacle collision avoidance method, APF-SR [17]. 2) We observe evolving changes in behavior of RL policies during training. This was consistent across environments with deterministic and stochastic obstacle motions. 3) The state value function stored in the critic net approximates the optimal collision probability reasonably well. This explains why RL policies perform well empirically compared to the traditional methods. 4) However, the RL policy stored in the actor net deviates from the optimal policy significantly and thus negatively impacts the true policy collision probability. 5) Lastly, strong evidence suggests that the deviation from optimal policy is caused by the actor net failing to approximate the action corresponding to the highest state-action value. The enclosed video demonstrates deep RL moving obstacle avoidance policies in environments with 50 obstacles compared to APF-SR.

## II. RELATED WORK

### A. RL in Moving Obstacle Avoidance

An approximate value iteration RL algorithm using a state value function is a viable solution for moving obstacle avoidance [18], but it requires hand-engineered features such as the distance to obstacles. Recent advances in deep RL eliminate the need for hand-picked features, by relying on deep neural nets to approximate value functions [19]. As a result, deep RL policies can map raw sensor observations such as camera image or LiDAR observation directly to robot actions. This breakthrough inspired a new line of work using deep RL for moving obstacle avoidance. For example, an effective end-to-end (LiDAR to robot action) moving obstacle avoidance for point to point navigation and path following were achieved via Auto-RL, in which a large scale evolutionary strategy automatically tunes hyperparameters, network, and reward [11]. Proximal policy optimization [20] learns an end-to-end policy to navigate among dense crowds [12]. Another method, using a recurrent neural net to avoid collision with an arbitrary number of moving obstacles, obtains obstacle position information available through the use of clustering [13].

### B. Moving Obstacle Avoidance with SR

SR assesses whether the state of the system will, with a certain likelihood, remain within and/or reach a desired subset of the state space in a finite time, or avoid an undesired subset of the state space [21]. SR can be formulated to avoid

moving obstacles by setting the undesired set of states as states with a non-zero collision probability. To compute this set, methods such as [22] and [23] start with the set of states in collision and iterate backward in time using the Hamilton-Jacobi-Isaacs (HJI) equation [24]. The complement of this set of states assures collision avoidance. Unfortunately, the computational cost of dynamic programming-based SR increases exponentially with the number of obstacles and robot state space dimension [21]. As a result, SR cannot be used to avoid multiple moving obstacles directly in real-time. Several methods sacrifice the probabilistic guarantees provided by SR to work among many moving obstacles. These include using SR to bias roadmap edge weights [25] and artificial potential fields [17].

### C. Evaluation of deep RL policies

Many RL methods rely on Bellman iteration to update policies [26]. RL policy converges to optimal in limited cases, e.g., when discrete or linear value function approximation and on-policy samples are used [27]. In the context of stochastic moving obstacle avoidance, optimal policies and corresponding value functions provide probabilistic guarantees of obstacle avoidance. Unfortunately, since most deep RL methods use nonlinear function approximators (neural networks) and stochastic gradient descent-based optimizers, it is very difficult to provide convergence guarantees [28]. As a result, previous work in deep RL empirically compares policy performance with traditional robotics approaches [12], [11], other RL algorithms [10], or humans [19]. These approaches may not be sufficient for moving obstacle avoidance since collisions often incur severe consequences. By quantitatively comparing deep RL policies to methods with theoretical guarantees, we can directly probe the safety and performance of deep RL for moving obstacle avoidance.

## III. PRELIMINARIES

### A. Robot and Obstacle Dynamics

Consider a holonomic circular robot and a circular obstacle in two dimensional workspace. The robot with radius  $R^r$  at location  $\mathbf{x}_n^r$  is to avoid the obstacle with radius  $R^o$  at  $\mathbf{x}_n^o$  at each discrete time step  $n$ . The robot may change its heading angle,  $\theta_n^r$ , while moving with a constant speed,  $v^r$ . The obstacle moves in a straight line with a heading angle,  $\theta^o$ , with velocity,  $\mathbf{w}_n$ , while its speed,  $w_n = |\mathbf{w}_n|$ , may change according to a probability mass function,  $p(w_n)$ . The spaces of stochastic obstacle speed and robot action are denoted by  $\mathcal{W}$  and  $\mathcal{U}$ , respectively.

The discrete time dynamics of the robot and obstacle in relative coordinates ( $\tilde{\mathbf{x}} = \mathbf{x}^r - \mathbf{x}^o \in \tilde{\mathcal{X}}$ ) is described by:

$$\tilde{\mathbf{x}}_{n+1} = \tilde{\mathbf{x}}_n + \Delta (f^r(\mathbf{u}_n, \theta_n^r) - f^o(\mathbf{w}_n)), \quad (1)$$

where  $\Delta$  is the time step,  $\mathbf{u}_n$  is the robot action,  $f^r(\mathbf{u}, \theta^r)$  and  $f^o(\mathbf{w})$  are the dynamics of the robot and obstacle, respectively. A collision occurs when

$$\|\tilde{\mathbf{x}}_n\|_2 \leq R^r + R^o. \quad (2)$$

## B. SR Analysis

We briefly summarize SR formulation for stochastically moving obstacle avoidance. (See [15] for more details.) A value function,  $V$ , which corresponds to the collision probability over a finite time horizon,  $N$ , can be computed by formulating the SR problem in the following manner. First, we define an indicator function,  $\mathbf{1}_K(\tilde{\mathbf{x}})$ , with value one when the system is not in collision at  $\tilde{\mathbf{x}}$  and zero otherwise. Next, we define the stochastic transition kernel,  $\tau(\tilde{\mathbf{x}}_{n+1}|\tilde{\mathbf{x}}_n, \mathbf{u}_n)$ , which gives the probability distribution of  $\tilde{\mathbf{x}}_{n+1}$  given  $\tilde{\mathbf{x}}_n$  and  $\mathbf{u}_n$ . The value function can be computed by the iterative relationship between time steps, starting from time step  $N$  [21]:

$$V_N(\tilde{\mathbf{x}}) = \mathbf{1}_K(\tilde{\mathbf{x}}) \quad (3)$$

$$V_n(\tilde{\mathbf{x}}) = \mathbf{1}_K(\tilde{\mathbf{x}}) \int_{\tilde{\mathcal{X}}} V_{n+1}(\tilde{\mathbf{x}}') \tau(\tilde{\mathbf{x}}'|\tilde{\mathbf{x}}_n, \mathbf{u}_n) d\tilde{\mathbf{x}}' \quad (4)$$

$$= \mathbf{1}_K(\tilde{\mathbf{x}}) \sum_{w \in \mathcal{W}} V_{n+1}^*(\tilde{\mathbf{x}} + \Delta(f_n^r - f_n^o)) p(w), \quad (5)$$

where  $f_n^r = f^r(\mathbf{u}_n, \theta_n^r)$ ,  $f_n^o = f^o(\mathbf{w}_n)$ .

The optimal value function,  $V^*$ , can be computed by choosing the optimal action that maximizes the value function at each iteration:

$$V_n^*(\tilde{\mathbf{x}}) = \max_{\mathbf{u} \in \mathcal{U}} \left\{ \mathbf{1}_K(\tilde{\mathbf{x}}) \sum_{w \in \mathcal{W}} V_{n+1}^*(\tilde{\mathbf{x}} + \Delta(f_n^r - f_n^o)) p(w) \right\}. \quad (6)$$

The optimal value function at  $n = 0$ ,  $V_0^*(\tilde{\mathbf{x}}_0)$ , is the collision avoidance probability of state  $\tilde{\mathbf{x}}_0$  given the best avoidance actions in the next  $N$  time steps. Therefore, the collision probability,  $V_{\text{SR}}$ , is simply  $V_{\text{SR}} \equiv 1 - V_0^*(\tilde{\mathbf{x}}_0)$ . Note that we can also use Eq. (6) to find optimal actions to avoid collisions from a given state  $\tilde{\mathbf{x}}$ , i.e., an optimal collision avoidance policy.

## C. Deep RL

We formulate the problem as a Partially Observable Markov Decision Process (POMDP), given as a tuple  $(S, \mathcal{A}, \mathcal{O}, R, T, \rho, \gamma)$  of states  $S$ , actions  $\mathcal{A}$ , observations  $\mathcal{O}$ , a reward function  $R(s, a)$ , a transition function  $T(s, a, s') = P(s'|s, a)$ , a conditional observation function  $\rho(s, o) = P(o|s)$  and a discount factor  $\gamma$ . In each state  $s \in S$ , the agent receives an observation  $o \in \mathcal{O}$ , determined by the conditional observation probability  $P(o|s)$ . Using the observation, the agent takes an action  $a \in \mathcal{A}$ , given by a policy  $\pi$ . The agent then receives a reward  $R(s, a)$  and reaches a new state  $s'$ , determined from the probability distribution  $P(s'|s, a)$ . The goal of the agent is to find an optimal policy  $\pi^*$  mapping observations to actions, such that the expected discounted cumulative reward is maximized.

$$\pi^* = \operatorname{argmax}_{\pi} \mathbb{E}_{\tau \sim \pi} [R(\tau)], \quad (7)$$

where  $\tau$  represents a sequence of states and actions induced by the policy.

A3C [16] approximates the optimal policy through the use of actor and critic neural nets. The actor net learns a policy

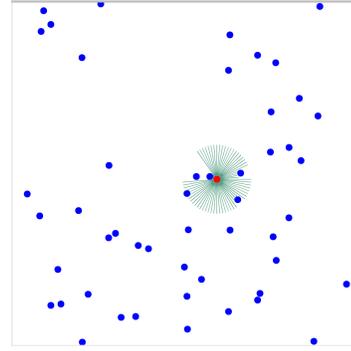


Fig. 2. Training environment. The holonomic point robot (red) makes LiDAR (green) observations of obstacles (blue).

through policy gradient [29], which updates the actor net parameters towards the direction, computed with the help of the critic net's value function, that maximizes the expected discounted cumulative reward. Meanwhile, the critic net parameters are updated by Bellman's equation (same as Q-learning). To speed up learning, A3C employs multiple actor-learners to asynchronously collect experiences, i.e., observation, action and reward for each time step.

## IV. DYNAMIC OBSTACLE AVOIDANCE POLICIES

*a) Robot and environment:* The 50 m by 50 m training environment (Fig. 2) has 50 moving obstacles (blue circles of radius 0.5 m) and the holonomic point robot (red dot) has a 1D LiDAR with 72 rays with a 5 m maximum range (green lines). When the obstacle reaches the boundary of the environment it teleports and reappears at the opposite boundary. We consider two scenarios, one with deterministic obstacle motion, a fixed velocity (2.5 m/s), and one with stochastic motion, a fixed heading but speed randomly sampled from  $w = [1.5, 2.5, 3.5, 4.5]$  m/s with probability  $P_w = [0.2, 0.3, 0.2, 0.3]$  at every time step (0.2 s). The robot action is either one of the 36 directions, spread evenly across  $360^\circ$ , or to remain stationary. The robot has a maximum speed of 1 m/s, which is up to 4.5 times slower than the maximum speed of stochastically moving obstacles.

*b) RL setup:* We train deep RL moving obstacle avoidance policies for both scenarios (deterministic and stochastic obstacle motions) using A3C [16]. We chose A3C because, unlike policy optimization based methods, the critic net stores the state value function which approximates the expected cumulative reward. The robot observes the 72 distances returned by LiDAR. To allow observation of obstacle velocity, the 5 most recent LiDAR measurements are used as the observation,  $\mathbf{o}$ , by A3C. At every time step reward function,  $R$ , is evaluated, and it provides a value of 0.25 for a non-collision transition and -5 if the robot collides with a moving obstacle. We terminate the episode if collision occurs. We use 32 actor-learners, and the critic is updated every time 8 experiences were collected by each learner. A fully-connected network with two hidden layers, with [128, 32] neurons was used to approximate actor and critic. The network structure and other hyperparameters were

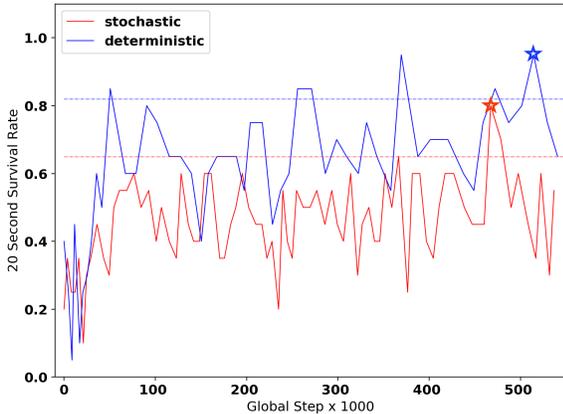


Fig. 3. 20 second survival rate as a function of global step in an environment with 50 obstacles. Deep RL policies (solid lines) and a non-learned comparison method APF-SR (dotted lines) for stochastic (red) and deterministic (blue) obstacle motions are shown. Stochastic and deterministic policies are picked at 0.80 (red star) and 0.95 (blue star) survival rates. APF-SR survival rates are 0.65 and 0.82 for stochastic and deterministic obstacle motions, respectively.

determined by manual tuning. All experiments were done on 32 cores of Intel Xeon E5-2640 @ 2.60 GHz with 64 GB RAM. We observe convergence for global steps  $> 500k$  in about 75 minutes.

*c) SR setup:* We compute the SR set and avoidance policy for single obstacle moving in one direction (from left to right) and a holonomic point robot. Both the obstacle and robot have the same motion dynamics as defined above. We consider horizon of  $N = 20$  steps, with time step  $\Delta = 0.05$ . The computation takes about 47 seconds on a single core of Intel Xeon E-2146G @ 3.50 GHz with 32 GB RAM. We observe convergence for  $N > 11$ .

## V. EVALUATION

In this Section, we compare deep RL value functions and policies to SR computation in order to directly probe learning outcomes. First, we begin with assessing the learning process, and after a policy is selected, we evaluate in depth the resulting value functions and actions selected by the two methods.

### A. Policy selection and evaluation

During training, the learned deep RL policy constantly changes. The final policy used for obstacle avoidance is typically chosen by picking the policy with the highest cumulative reward or a performance metric [11].

Fig. 3 shows the survival rate, the percentage of 20 second collision-free runs of the robot over 20 different randomly chosen environments, as a function of training steps for the stochastic and deterministic obstacle motions. For comparison, we ran an SR based artificial potential field method, APF-SR [17], in the same environments with the same number of obstacles as deep RL. APF-SR uses the SR set as a repulsive potential and goal as an attractive potential. Given the net potential, SR suggests an action to take. In our scenario the task is to survive without collision, thus there

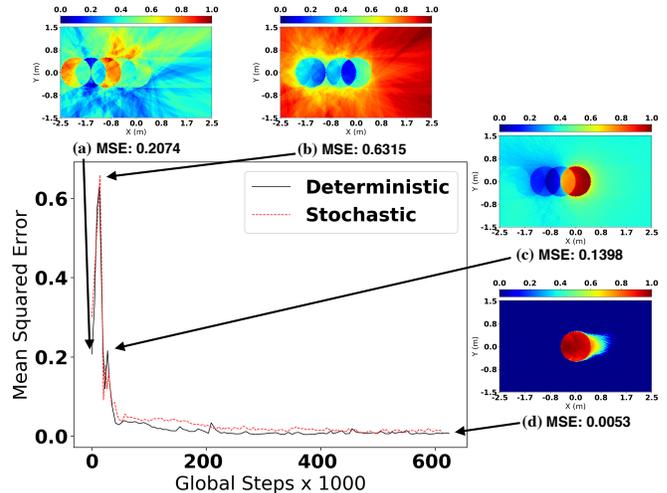


Fig. 4. MSE between  $V_{SR}$  and normalized  $V_{RL}$  as a function of global step (main figure) for deterministic (solid black) and stochastic (dotted red) obstacle motions. Normalized  $V_{RL}$  plots are shown at initial state (a), the first peak (b), the second peak (c) and convergence (d). The color represents the collision probability.

is no attractive potential. Fig.3 shows the survival rates of APF-SR which are lower than the survival rates of our RL policy by 15% and 13% for the stochastic and deterministic obstacle motions, respectively.

For the remaining policy analysis, we empirically pick the RL policies with highest survival rate (95% for deterministic and 80% for stochastic).

### B. Critic Comparison

Recall that given a set of 5 most recent LiDAR measurements as an observation, the critic in A3C returns a scalar,  $V_{RL}$ , which approximates the expected cumulative reward. We compute  $V_{RL}$  for a 5 m by 3 m region around the obstacle for our single obstacle problem (Fig. 1b). Next, 5 LiDAR measurements from each point are taken as the obstacle moves in one direction (from left to right) with either deterministic or stochastic motions. Finally, we min-max normalize  $V_{RL}$  to have values between zero and one to serve as a proxy for collision probability. This allows for a direct comparison with the collision probability,  $V_{SR}$ , given by the optimal avoidance policy from SR. Note that SR suffers from the curse of dimensionality and computing collision avoidance with multiple obstacles is infeasible [15]. Therefore, we compare  $V_{SR}$  and normalized  $V_{RL}$  in the presence of one moving obstacle.

Fig. 4 shows the Mean Squared Error (MSE) between  $V_{SR}$  and normalized  $V_{RL}$ , for the deterministic and stochastic obstacle motions as a function of global steps. Both curves follow the same trend, with two peaks of larger error before the MSE converges. The four inset figures of normalized  $V_{RL}$  in Fig. 4 show distinct robot behaviors during training. At the initial stage of learning (inset a),  $V_{RL}$  is essentially random. Next, the robot learned to approach the obstacle, resulting in a high collision rate and MSE (inset b). This behavior helps the robot in the next stage of learning avoid the obstacle (inset c), but it does not consider the motion of

obstacle. Lastly at convergence (inset d), the robot learns to consider obstacle motion, thus resulting in a low MSE.

Figs. 1b and 1d show normalized  $V_{RL}$  for the best performing policies (as selected in Section V-A), given deterministic (top row) and stochastic (bottom row) obstacle motion. Also, for comparison, the  $V_{SR}$  is shown in Figs. 1a and 1c. The  $V_{SR}$  plots show that since the obstacle moves faster than the robot, the robot cannot avoid collision if it is positioned inside the obstacle or in a small region in front of the obstacle (collision probability of one). In addition to these regions, stochastically moving obstacles also have regions where the robot may only probabilistically avoid collision. Collision avoidance would only be achievable if the obstacle moves at slow speed. Lastly, there is a large area with zero collision probability for SR. The critic net captures these regions reasonably well as normalized  $V_{RL}$  shown in Figs. 1b and 1d is similar to  $V_{SR}$ , resulting in a low MSE.

### C. Actor Comparison

After comparing the critic net with the collision probability given by SR, we compare the deep RL policy (actor net) with the optimal policy given by SR (Eq. (6)). Fig. 5 shows action as a function of robot position (white arrows) overlaid with  $V_{SR}$  on the left and normalized  $V_{RL}$  on the right, for obstacles with deterministic motion (top row) and stochastic motion (bottom row). A visual comparison of the arrows shows that RL actions are not the same as optimal actions given by SR. For example, there are actions in the RL deterministic obstacle case that suggest outrunning the obstacle. And, there are actions in the RL stochastic case that seemingly move toward the incoming obstacle. These suggest potential collisions. It should be noted that in  $V_{SR}$  arrows are not shown for those locations with zero collision probability because all actions are equally optimal. This translates to APF-SR agent being stationary in the attached video. The deep RL policy, however, returns an action for each location.

Since the actions selected by the actor net deviates significantly from SR, we would like to answer the following questions: 1) How does this affect collision avoidance performance (Sec. V-D) and 2) what causes the discrepancy (Sec. V-E).

### D. Collision Probability Comparison

In this section, we analyze how the deep RL and SR policy discrepancy affects collision avoidance. To do this, we compute the RL collision probability of a given position in our single obstacle problem. This is achieved by starting the robot at every position and executing actions given by the actor net until either collision occurs or a horizon of six time steps is reached. This process is repeated 5 times for each position.

Figs. 6b and 6e show the RL collision probability compared to the optimal collision probability given by SR in Figs. 6a and 6d, for deterministic (top row) and stochastic (bottom row) obstacle motions, respectively. It is clear that the RL collision probability differs significantly from the optimal. This means that the deep RL policy is not optimal and is

more likely to collide with obstacles, particularly in regions where the RL policy deviates significantly with the optimal policy.

### E. Causes for Sub-optimality

In this section, we aim to identify causes for the sub-optimality of the deep RL policy. We observe that normalized  $V_{RL}$  (Fig. 1b) is close to the collision probability given by SR (Fig. 1a) for deterministic obstacle motion. This is also reflected in the low MSE in Fig. 4. However, the RL policy deviates from the optimal given by SR significantly. This led to a hypothesis that *the actor net failed to approximate the action corresponding to the highest state-action value inferred from the critic net*. To support this hypothesis, we bypassed the actor net and devised a new RL policy from the critic net,  $\pi_{critic}$ .

Given an observation,  $o$ , the critic policy selects an action that maximizes the sum of immediate reward for that action and the discounted value of the next observation,  $o'$ , estimated by the critic net:

$$\mathbf{a}_{critic} = \underset{\mathbf{a} \in \mathcal{A}}{\operatorname{argmax}} [R(s, \mathbf{a}) + \gamma V_{RL}(o')]. \quad (8)$$

We compute the collision probability of this ‘‘critic policy’’ with the procedure described in Sec. V-D. Figs. 6c and 6f show this collision probability for deterministic and stochastic obstacle motions, respectively. Comparing to the collision probability of the original RL policy (actions obtained from the actor net, shown in Figs. 6b and 6e), it is clear that the critic policy performs better for deterministic obstacle motion. This is a strong evidence supporting our hypothesis.

To further support our hypothesis, we compare the actions of the original deep RL policy (left column) and critic policies (right column) in Fig. 7 for deterministic (top row) and stochastic (bottom row) obstacle motions. It is clear that the actions are significantly different. We believe that this difference between the actor and critic policies arise from the fact that critic introduces bias to gradient estimations that are used to improve the actor’s policy [30], [31]. The actor-critic algorithms, e.g., A3C use the critic’s value function instead of empirical returns to estimate the cumulative rewards which helps to lower the variance of the gradient estimations but at the cost of introducing bias. The same conclusion can be drawn for stochastic obstacle motion, as Figs. 7c and 7d show that the two policies are significantly different.

However, in contrast to deterministic obstacle motion, Fig. 6f shows the critic policy for stochastic motion performs only slightly better than the original policy in Fig. 6e. This indicates that the critic is not learning the optimal collision probability, as shown in Figs. 1c and 1d. This maybe due to the stochastic nature of obstacle speeds, which has a higher average speed (3.1 m/s compared to 2.5 m/s of deterministic obstacle motion) and randomly varies every time step.

We also ruled out some other sources for the discrepancy. We tried increasing the number of LiDAR beams to 288 (4 times as many) and increasing the network size and shape. These changes did not result in better empirical

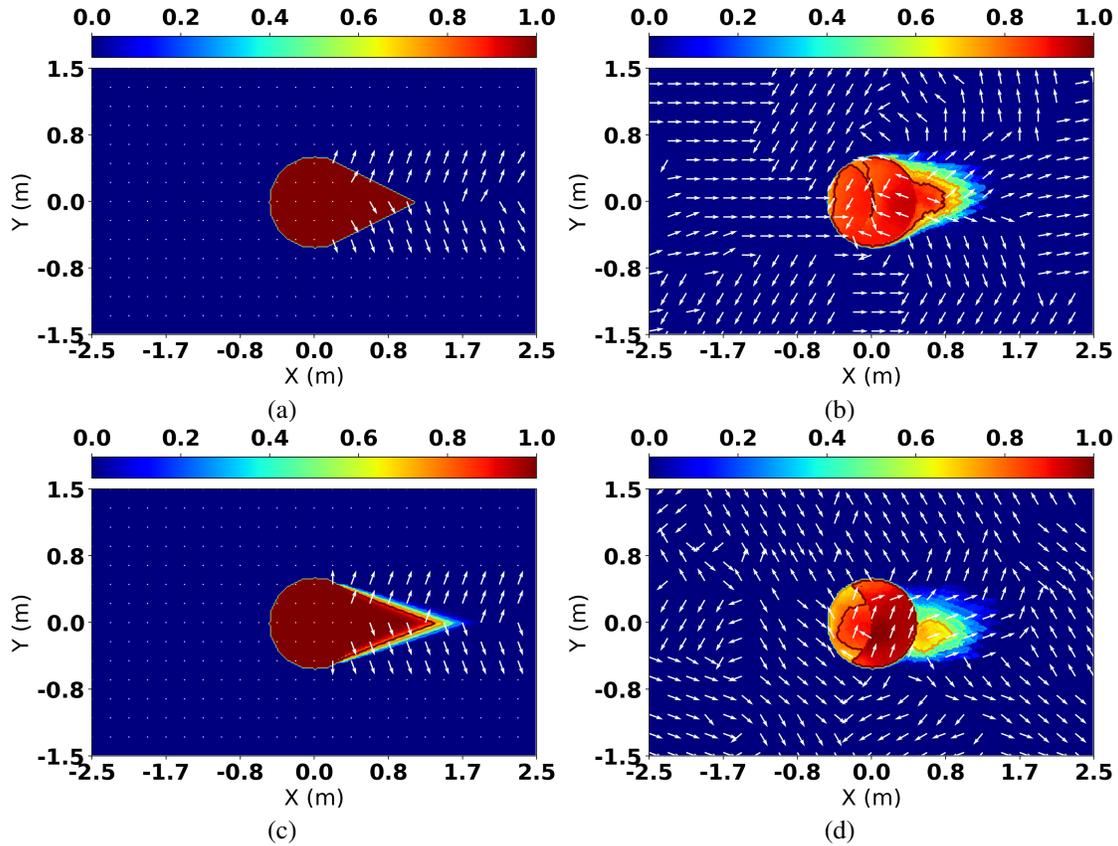


Fig. 5.  $V_{SR}$  (a, c) and normalized  $V_{RL}$  (b, d) contour overlaid with SR and RL action at each position (shown by arrows), for deterministic (a, b) and stochastic (c, d) obstacle motions. The color represents the collision probability.

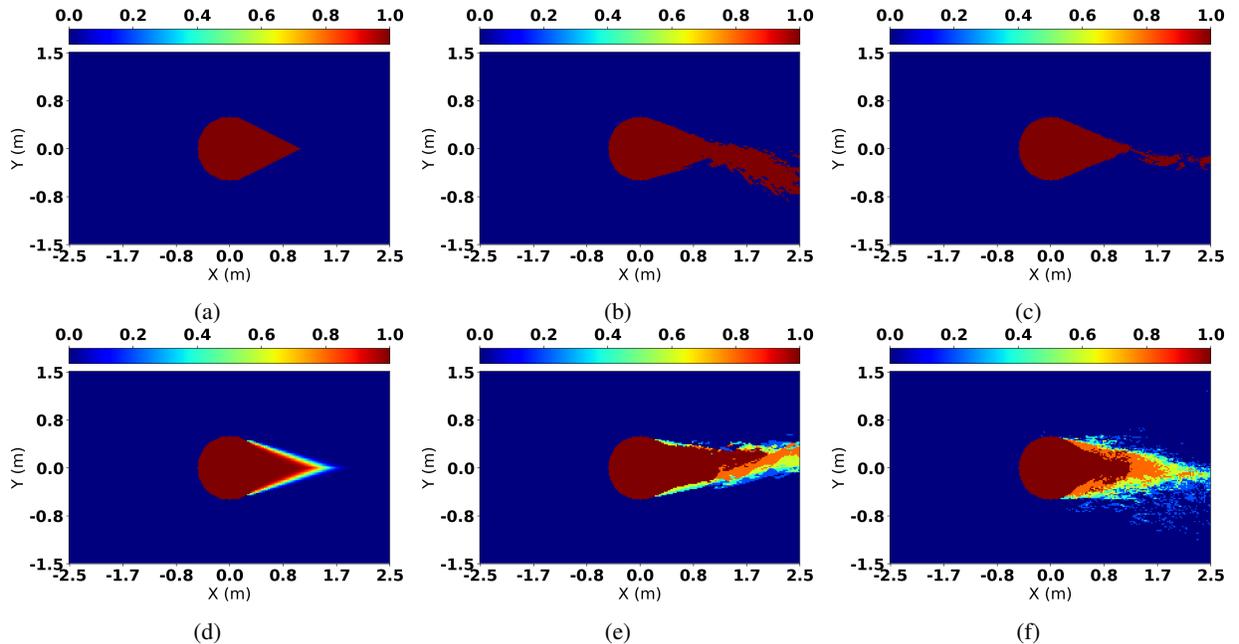


Fig. 6.  $V_{SR}$  (a, d), collision probability of the RL policy (b, e) and "critic policy" described in Sec. V-E (c, f) for deterministic (top) and stochastic (bottom) obstacle motions. The color represents the collision probability.

performance among many obstacles, and the true policy collision probability did not better approximate the optimal.

## VI. CONCLUSION

In this paper we examined how the deep RL actor and critic compare to a traditional formal method, SR, for the

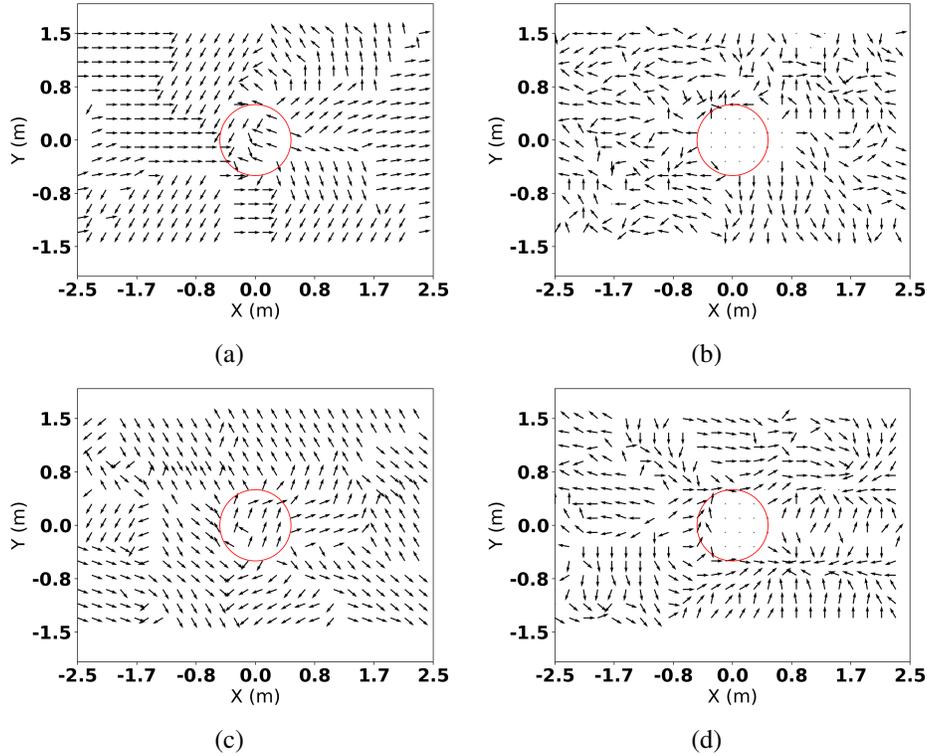


Fig. 7. RL actions as a function of position given by the actor net (a, c) and critic net (b, d), actions corresponding to the highest state-action value). The top and bottom rows show the obstacle (red circle) with deterministic and stochastic motion, respectively.

task of avoiding moving obstacles. To that end, we performed a comparative analysis. In the presence of multiple moving stochastic obstacles, RL performs empirically better than a state of the art planning method while having less information about obstacle dynamics and position. In the presence of a single obstacle, we uncover regions where the RL policy under-performs an optimal SR computation. This is important because it gives a cue to practitioners where secondary safety policies might need to be added, to improve obstacle avoidance in physical systems. We also discover a consistent evolution of the RL agents during the training, where learning for both deterministic and stochastic obstacles passes through the same phases. This is important as it can provide further insights into both the performance of the agent and when deciding if more training is necessary.

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