

## Midterm Examination

CS 362 Data Structures and Algorithms  
Spring, 2008

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- Print your name and email, *neatly* in the space provided above; print your name at the upper right corner of *every* page. Please print legibly.
  - This is an *closed book* exam. You are permitted to use *only* two pages of “cheat sheets” that you have brought to the exam and a calculator. *Nothing else is permitted.*
  - Do all five problems in this booklet. *Show your work!* You will not get partial credit if we cannot figure out how you arrived at your answer.
  - Write your answers in the space provided for the corresponding problem. Let us know if you need more paper.
  - Don't spend too much time on any single problem. The questions are weighted equally. If you get stuck, move on to something else and come back later.
  - If any question is unclear, ask us for clarification.
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Question	Points	Score	Grader
1	20		
2	20		
3	20		
4	20		
5	20		
Total	100		

## 1. Short Answer

For each of the questions below, please give the answer in terms of theta notation. Circle your final answer.

- (a) Amount of time necessary to solve the 0-1 knapsack problem using dynamic programming when there are  $n$  items and the capacity of the knapsack is  $W$ . *Solution:*  $\Theta(nW)$
- (b) In the analysis we went over in class on the union find data structure with path compression, number of dollars paid into the leader and child accounts for each call to Find-Set. *Solution:*  $\Theta(1)$
- (c) In the analysis we went over in class on the union find data structure with path compression, number of dollars paid into the block and path accounts over  $n$  calls to Find-Set. *Solution:*  $\Theta(n \log^* n)$
- (d) Solution to the recurrence  $T(n) = 8T(n/2) + \sqrt{n}$  *Solution:*  $\Theta(n^3)$
- (e) Solution to the recurrence  $T(n) = T(n-1) + 1$  *Solution:* The annihilator is  $(L-1)$  for the homogeneous part and  $(L-1)$  for the non-homogeneous part so the solution is  $c_0n + c_1$  which is  $\Theta(n)$
- (f) Solution to the recurrence  $T(n) = 4T(n-1) - 3T(n-2) + 1$  *Solution:* The annihilator is  $(L-3)(L-1)(L-1)$  so the solution is  $c_0 * 3^n + c_1n + c_2$  which is  $\Theta(3^n)$

True or False (10 points total). **Circle your final answers.**

- (a) If an operation takes  $O(1)$  expected time, then it takes  $O(1)$  amortized time *Solution:* *False. Amortized time is a worst case bound over a sequence of operations. Expected time is just a probabilistic bound.*
- (b) Any problem that can be solved with a greedy algorithm can also be solved with recursive algorithm *Solution:* *True*
- (c)  $\log n$  is  $o(n^{0.1})$  *Solution:* *True. Use L'Hopitals to show this.*
- (d)  $\log n$  is  $\omega(\log \log n)$  *Solution:* *True.  $\log n$  grows asymptotically faster than  $\log \log n$ .*

## 2. Induction

Consider the recurrence  $f(n) = f(n-1) * f(n-1)$ ,  $f(1) = 2$ . Show using induction that  $f(n)$  is  $\Omega(2^n)$  Solution: B.C.  $f(1) = 2 \geq c2^1$  for  $c = 1$ .

I.H. For all  $j < n$ ,  $f(j) \geq c2^j$

I.S.  $f(n) = f(n-1) * f(n-1)$ . By the I.H.,  $f(n) \geq c^2 2^{n-1} * 2^{n-1} \geq c2^n$  provided that  $c \geq 1$ .

Thus, if  $c = 1$  and  $n_0 = 1$ , we can say that  $f(n) \geq c2^n$  for all  $n \geq n_0$  which proves that  $f(n) = \Omega(2^n)$ .

### 3. Making Change

Imagine you live in a country where the coin denominations are 1 cent, 4 cents, and 5 cents. Consider the problem where you are given some value  $n$  and you want to make change for this value, using the smallest number of coins.

Part 1: Show that the greedy algorithm (use the largest value coins first) for making change fails for these denominations *Solution: To make change for 8 cents greedily would require 4 coins (a 5 cent and 3 1 cents. However, this can be done better with only 2 4 cent coins*

Part 2: Describe a dynamic programming algorithm for finding the smallest number of coins needed in this country to make change for any value of  $n$ . Analyze your algorithm. *Solution: Let  $f(n)$  be the smallest number of coins needed to make change for  $n$  cents. Then  $f(1) = f(4) = f(5) = 1$ ,  $f(2) = 2$ ,  $f(3) = 3$  and for  $n > 5$ ,  $f(n) = 1 + \min(f(n-1), f(n-4), f(n-5))$ . The dynamic program would just use a single array of length  $n$ , fill it in from left to right and return the value  $f(n)$ . The total runtime would be  $O(n)$ .*

#### 4. Amortized Analysis

Assume you are creating an array data structure that has a fixed size of  $n$ . You want to backup this array after every so many insertion operations. Unfortunately, the backup operation is quite expensive, it takes  $n$  time to do the backup. Insertions without a backup just take 1 time unit.

Part 1: How frequently can you do a backup and still guarantee that the amortized cost of insertion is  $O(1)$ ? *Solution: You can backup the array after every  $n$  insertions*

Part 2: Prove that you can do backups in  $O(1)$  amortized time. Use the *potential method* for your proof. *Solution: Let  $\phi_i = i \bmod n$ . Then when  $i \bmod n = 0$ ,  $a_i = n + 0 - (n - 1) = 1$ . When  $i \bmod n \neq 0$ ,  $a_i = 1 + (i \bmod n) - ((i - 1) \bmod n) = 2$ .*

## 5. Recurrences

In this problem, you will use recurrence relations to analyze an interesting magic trick. The trick is done as follows. Choose any two integers and write them one after another. Now form a third number by subtracting the first number from  $5/2$  times the second number. Form a fourth number by subtracting the second from  $5/2$  times the third, etc. until you have a sequence of twenty numbers. Now divide the twentieth number by the nineteenth. The value you get should be very close to 2. Can you explain why this trick works? Hint: Write down a recurrence relation  $f(n)$  for the  $n$ -th number in the sequence. Now get the general solution to this recurrence relation using annihilators. Next, figure out what is a good approximation to this solution for large  $n$ .

*Solution:*  $f(n) = 5/2 * f(n - 1) - f(n - 2)$ . The annihilator for this is  $L^2 - 5/2L + 1$ . Factoring, this we get  $(L - 2)(L - 1/2)$ . This means  $f(n) = c_1 2^n + c_2 (1/2)^n$ . For large  $n$ , a good approximation is that  $f(n) = c_1 2^n$ . Thus the ratio  $f(n)/f(n - 1)$  approached  $c_1 2^n / c_1 2^{n-1} = 2$  as  $n$  gets large (provided that  $c_1 \neq 0$ ).