

## CS 561, HW2

Prof. Jared Saia, University of New Mexico

*Due: Sept. 16*

1. In this problem you will use Chernoff bounds to show that for most of the levels of a skip list, the size of the level is very tightly bounded around its expectation.

*Chernoff Bounds:* Assume you have  $n$  independent, indicator random variables  $X_1, X_2, \dots, X_n$  and let  $X = \sum_{i=1}^n X_i$  and  $\mu = E(X)$ . Then Chernoff bounds tell us that for any  $0 \leq \delta \leq 1$ :

$$\Pr(X \leq (1 - \delta)\mu \text{ or } X \geq (1 + \delta)\mu) \leq 2e^{-\mu\delta^2/4}$$

Use Chernoff and Union bounds to show that with probability at least  $1 - 1/n$ , for all  $0 \leq j \leq \log n - \log \log n - 5$ , List  $j$  in a skip list contains between  $n/2^{j+1}$  and  $3n/2^{j+1}$  nodes. Let List 0 be the bottom list, List 1 be the next higher up, etc. You may assume that  $n$  is sufficiently large, e.g.  $n$  is larger than some constant  $n_0$ .

Note: Chernoff bounds are more powerful than bounds on Binomial distributions since  $X$  need not be binomially distributed. The only requirement is that the  $X_i$  be independent. Hint: Remember that  $e^{-x} \leq 2^{-x}$ .

2. You toss  $m$  pebbles onto the  $n$  nodes of a  $k$ -regular undirected graph (recall that a graph is  $k$ -regular if every node has degree  $k$ ). Each pebble lands on a node selected uniformly at random. A pair of pebbles is said to “collide” if they fall on the same node or on two nodes that are neighbors. What is the expected number of pairs of pebbles that collide? About how large must  $m$  be before you would expect at least 1 pair of pebbles to collide?
3. Consider the recurrence  $f(n) = 3f(n/2) + \sqrt{n}$ 
  - (a) Use the Master method to solve this recurrence

- (b) Now use annihilators (and a transformation) to solve the recurrence. Show your work. (This is perhaps stating the obvious, but please note that your two bounds should match)

4. Consider the following function:

```
int f (int n){
    if (n==0) return 2;
    else if (n==1) return 5;
    else{
        int val = 2*f (n-1);
        val = val - f (n-2);
        return val;
    }
}
```

- (a) Write a recurrence relation for the *value* returned by  $f$ . Solve the recurrence exactly. (Don't forget to check it)
- (b) Write a recurrence relation for the *running time* of  $f$ . Get a tight upperbound (i.e. big-O) on the solution to this recurrence.

5. *Silly-Sort* Consider the following sorting algorithm

```
Silly-Sort(A,i,j)
    if A[i] > A[j]
        then exchange A[i] and A[j];
    if i+1 >= j
        then return;
    k = floor(j-i+1)/3;
    Silly-Sort(A,i,j-k);
    Silly-Sort(A,i+k,j);
    Silly-Sort(A,i,j-k);
```

- (a) Argue (by induction) that if  $n$  is the length of  $A$ , then  $\text{Silly-Sort}(A,1,n)$  correctly sorts the input array  $A[1\dots n]$
- (b) Give a recurrence relation for the worst-case run time of  $\text{Silly-Sort}$  and a tight bound on the worst-case run time
- (c) Compare this worst-case runtime with that of insertion sort, merge sort, heapsort and quicksort.

## 6. Primes and Probability.

In this problem, you will use the following facts. 1) any integer can be uniquely factored into primes; 2) the number of primes less than any number  $m$  is  $\theta(m/\log m)$  (this is the prime number theorem).

We will also make use of the following notation for integers  $x$  and  $y$ : 1)  $x|y$  means that  $x$  “divides”  $y$ , which means that there is no remainder when you divide  $y$  by  $x$ . and 2)  $x \equiv y \pmod{p}$  means that  $x$  and  $y$  have the same remainder when divided by  $p$ , or in other words,  $p|x-y$ .

- (a) Show that for any integer  $x$ ,  $x$  factors into at most  $\log x$  primes. Hint: 2 is the smallest prime.
- (b) Let  $x$  be some positive integer and let  $p$  be a prime chosen uniformly at random from all primes less than or equal to  $m$ . Use the prime number theorem to show that the probability that  $p|x$  is  $O((\log x)(\log m)/m)$ .
- (c) Now let  $x$  and  $y$  both be positive integers less than  $n$  and let  $p$  be a prime chosen uniformly at random from all primes less than or equal to  $m$ . Using the previous result, show that the probability that  $x \equiv y \pmod{p}$  is  $O((\log n)(\log m)/m)$ .
- (d) If  $m = \log^2 n$  in the previous problem, then what is the probability that  $x \equiv y \pmod{p}$ . Hint: If you’re on the right track, you should be able to show that this probability is “small”, i.e. it goes to 0 as  $n$  gets large.
- (e) Finally, show how to apply this result to the following problem. Alice and Bob both have databases  $x$  and  $y$  where  $x$  and  $y$  have value no more than  $n$ , for  $n$  a very large number (think terabytes). They want to check to see if their databases are consistent (i.e. they want to check if they are the same) but Alice does not want to have to send her entire database to Bob. What is an algorithm Alice and Bob can use to check consistency with reasonably good probability by sending a lot fewer bits? How many bits does Alice need to send to Bob as a function of  $n$ , and what is the probability of failure, where failure means that this algorithm says the databases are the same but in fact they are different?

7. **Bad Santa** A child is presented with  $n$  boxes, one after another. Upon receiving a box, the child must decide whether or not to open it. If the child does not open a box, he is never allowed to revisit it. Half the

boxes have presents in them, but the decision about which boxes have presents is made by an omniscient and malicious Santa who wants the child to open as many empty boxes as possible before finding a present.

Devise and analyze a randomized algorithm for the child which minimizes the expected number of boxes that need to be opened before the child finds the first present. Assume Santa knows your algorithm, but can not predict the random choices made by your algorithm. Your algorithm must always eventually find a present.

Hint: Birthday paradox.

Note: This problem has applications to wireless networks: basically boxes are time-steps, Santa is a jamming adversary, and opening a box means spending energy to listen in a time-step.